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Title	The role of very fine particle sizes in the reflectance spectroscopy of plagioclase-bearing mixtures: New understanding for the interpretation of the finest sizes of the lunar regolith
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25 **Abstract**

26 *The lunar surface consists of a regolith layer that covers the underlying bedrocks, and is generally*
27 *characterized by particulates <1 cm. The lunar soil is the fine fraction of the regolith, and is generally*
28 *between 60 and 80 μm . Sizes <10 μm , that comprises ca. 5-20% of the soil, were recognized and*
29 *petrologically classified.*

30 *The coarsest sizes of the regolith are chemically and mineralogically similar, while the finest fractions*
31 *are more feldspathic, probably due to the easy of fracturing of plagioclase with respect to mafic*
32 *minerals.*

33 *Due to the more feldspathic nature of the very fine lunar soils, in this paper, we quantitatively*
34 *investigate the influence of very fine (<10 μm) plagioclase on the absorption bands of mafic minerals*
35 *using the Modified Gaussian Model. We considered two plagioclases with different iron content and*
36 *two mafic end-members (1) 56% orthopyroxene and 44% clinopyroxene, and (2) 30% orthopyroxene*
37 *and 70% olivine. We also compared our results with the deconvolution of the same mixtures at coarser*
38 *sizes. Our results mainly show that:*

39 *(1) a fine size acts principally on the reflectance and on the spectral contrast (that increases and*
40 *decreases, respectively); (2) a very fine plagioclase has a blue slope in the Near Infrared and very*
41 *shallow 1250 nm band depth, close to zero; (3) consequently, plagioclase band is always shallower*
42 *than mafic bands; (4) in mixtures with olivine, the composite band center always shows the typical*
43 *olivine value, differently from coarser mixtures; and (5) mafic material have a blue slope in the Short*
44 *Wavelength Infrared Region, a more V-shaped 1 μm pyroxene absorption and the 1 μm mafic band*
45 *centers are shifted of ca. 40 nm with respect to coarse sizes, reflecting a different weight within the*
46 *crystal field absorption of mafic component in very fine size. We also evidenced how a coarse*
47 *plagioclase could be overestimated, while a very fine one could be underestimated if compared to the*
48 *63-125 μm size.*

1. Introduction

Very fine sizes dominate many planetary surfaces and their regolith, e.g., Moon, Mars and Mercury. Different particle size fractions in the regolith (such as soil and dust) affect in different ways the optical properties of the surface. For this reason, very fine particles and their effects on the reflectance spectra of the most common planetary minerals have to be investigated in detail to retrieve correct information about the mineralogical composition and the surface texture.

In particular, the lunar surface consists of a regolith layer that covers the underlying bedrocks, with the exception of steep-sided crater walls, central peaks and lava channels (McKay et al., 1970), as shown by the lunar landings and observations. The lunar regolith, due to the absence of atmosphere on the Moon, is the result of different processes, e.g., impact of meteoroids and bombardments of protons from the sun and the stars, and is generally considered to be characterized by material lower than 1 cm in size (McKay et al., 1970). The fine fraction of the regolith, deriving from mechanical disintegration of lunar rocks, both basaltic and anorthositic, constitutes the lunar soil. The average lunar soil size is generally between 60 and 80 μm (McKay et al., 1970). The lunar dust describes even finer material than lunar soils (ca. $<50 \mu\text{m}$). Furthermore, sizes $<10 \mu\text{m}$, that comprises ca. 5-20% of the soil, have been recognized and petrologically classified (Laul et al., 1978; 1979; 1980).

Silicate minerals, such as orthopyroxene (OPX), clinopyroxene (CPX), olivine (OL) and plagioclase (PL) are the most important constituents of the lunar surface, associated with oxides (e.g., Papike et al., 1991), and can be spectrally identified on the basis of their absorption bands. While the iron-rich mafic minerals have been always easily detected (e.g., Tompkins and Pieters, 1999; Spudis et al., 1984), only recently, and thanks to the improvements on spectrometers onboard the last missions, the absorption due to the low amount of Fe^{2+} in PL have been recognized (e.g., Ohtake et al., 2009; Cheek et al., 2012a,b; Kramer et al., 2014), permitting new evaluation of its modal abundance and composition.

While coarse sizes of the regolith are chemically and mineralogically very similar, the finest fractions, $<10\text{ }\mu\text{m}$, are different and more feldspathic. A possible explanation can be due to simple comminution processes and the easy of fracturing of PL with respect to mafic minerals (Laul et al., 1978; 1979; 1980; Devine et al., 1982).

According to the more feldspathic nature of the very fine lunar soils, in this paper we present results for a set of PL-bearing mixtures analyzed at the $<10\text{ }\mu\text{m}$ particle size, also proposing a comparison with coarser mixtures analyzed in Serventi et al. (2013; 2015), to investigate the effects of very fine sizes on the reflectance spectroscopy of lunar-like mineralogies.

2. Background

2.1 PL and PL-bearing mixtures

Only in the last decade, PL and PL-bearing mixtures have been studied in detail, since the improvements in terms of spectral resolution of the spectrometers onboard the lunar missions permitted to clearly recognize the PL absorption band at ca. 1250 nm due to Fe^{2+} transition in its crystal structure (Adams and Goulland, 1978; Burns, 1993).

Cheek et al. (2011) demonstrated how in a set of synthetic An_{85} PL with different iron content, the 1250 nm band deepens with increasing the iron content, till a maximum value (ca. 0.4 wt.% FeO) after which band depth remains quite constant.

Serventi et al. (2013; 2015) showed that PL could be easily recognized in mixtures with pyroxenes (PX) for modal abundance higher than 70%, while in mixtures with OL it can be masked due to the narrow spectral range in which both OL and PL absorb creating a complex, composite (COMP) absorption. Moreover, spectral parameters, Gaussian modeling and Hapke modeling show how PL can spectrally influence the absorption of mafic minerals (Serventi et al., 2013; 2015; Carli et al., 2014a).

96 Cheek and Pieters (2014) analyzed PL-rich mixtures with varying content and composition of OL, PX
97 and very high-Mg spinels and demonstrated that PL can significantly contribute to reflectance spectra if
98 strongly absorbing minerals are present in low abundances, particularly in mixtures with PX.
99 Serventi et al. (2016) concluded that, in PL-dominated mixtures: 1) PL can be an important contributor
100 to reflectance spectroscopy also affecting mafic mineral absorption bands (as also demonstrated by
101 Cheek and Pieters, 2014); 2) PL absorbs in the 1250 nm spectral region but iron-rich PL also affects the
102 longest wavelengths (1600-1800 nm), as also suggested by Pieters (1986) and Hiroi et al. (2012); and
103 3) PX are easily recognizable in mixtures with PL even for very low PX concentration (~1%), while
104 OL, if less than 5%, can be masked by iron-rich PL.

105

106 **2.2 Particle size**

107 Generally, varying the particle size affects albedo, reflectance and spectral contrast; in particular,
108 decreasing the particle size the albedo increases while the spectral contrast, intended as the strength of
109 the absorptions, is reduced due to the decrease in the mean optical path length of reflected light
110 (Adams, 1968; Pieters, 1983). On the other hand, band centers and band widths are almost unaffected
111 by different particle size (Nash and Conel, 1974), or with shifts that fall in the spectral resolution of the
112 instruments (Serventi et al., 2013).

113 Crown and Pieters (1987) evaluated the reflectance spectra of mixtures composed with different modal
114 abundance of labradorite (An_{80} PL) and enstatite (Mg-OPX) at different particle size. The authors
115 showed that in the spectra of both end-members and mixtures the reflectance increases while the
116 spectral contrast decreases with reducing the size. In addition, they pointed out how the amount of PL
117 detectable in mixtures with mafic minerals is particle size dependable: more PL is required in finer
118 mixtures.

119 Mustard and Hays (1997) investigated the effects of fine particles that are approximately the same size
120 as the wavelength of light on reflectance spectra of OL and quartz (in the 0.3-25 μm spectral range). In
121 particular, spectra exhibit a drop in reflectance with the finest sizes. Looking at their Fig. 4, in the 300-
122 2500 nm range, OL albedo increases with fining the size, while the spectral contrast is reduced.
123 Furthermore, the slope in the NIR is less red with decreasing the size. On the contrary, looking at their
124 Fig. 5, quartz's albedo does not change linearly with the particle size; however, the broad absorption at
125 ca. 1000-3000 nm disappears at very fine sizes.

126 Furthermore, the transparency features show several important changes with decreasing particle size:
127 the spectral contrast increases then decreases, the position of the maximum reflectance of the
128 transparency features shifts systematically to shorter wavelengths, and the symmetry of the features
129 changes.

130 Cooper and Mustard (1999), analyzing martian analog minerals (e.g., montmorillonite and palagonite),
131 concluded that extremely fine particle sizes generate significant decreases in band strength. In
132 particular, the $< 5 \mu\text{m}$ fractions show vibrational absorption bands that were half the strength of the
133 absorptions from the coarser size fractions. They also concluded that this reduction is due to changes in
134 the ratio of scattering to absorption with particle size, with important implications for the spectroscopic
135 determination of the composition of the extremely fine martian dust.

136 Craig et al. (2007) evidenced how, increasing particle size, the overall reflectance of OL, OPX and
137 basalts decreases, while the bands deepen to reach a maximum between 45 and 250 μm to then
138 decrease. Coarsening the particle size can also produce band saturation effects that complicates the
139 determination of the absorption band center.

140 Serventi et al. (2013; 2015; 2016) showed how different particle sizes (125-250 μm ; 63-125 μm ; 36-63
141 μm) of PX, OL and PL spectra does not affect the band center (shifts of few nanometers fall in the
142 instrumental resolution) but only the band depth, that decreases decreasing the particle size.

3. Experimental procedure: sample preparation and analytical methods

3.1 End-member preparation and characteristics

Separate end-member minerals were obtained from samples belonging to the Stillwater Complex layered intrusion. The samples were accurately investigated under thin section to evidence the rock mineral association and to reduce as much as possible altered samples (Carli et al., 2009). Among the different samples, we selected an anorthosite, a gabbro-norite and an ultramafic rock (for the composition refer to Tab. 1). The chemistry of the rock-forming minerals was determined by electron microprobe analyses with a CAMECA SX50 (EMP) at the microprobe laboratory of C.N.R.-IGG (Consiglio Nazionale delle Ricerche, Istituto di Geoscienze e Georisorse), Padova.

The selected rock samples were first crushed to a coarse size class <2.00 mm, in order to preserve the original rock composition in powdered samples. The powders were then quartered and each fraction was ground to smaller size classes. In particular, we have considered two particle-sizes, <250 μm and <125 μm . Each powder particle-size class was then quartered again and half material was then sieved into two size ranges: 63-125 μm and 125-250 μm . The size fractions have been produced by dry sieving; we decided not to perform wet sieving to avoid: 1) possible alteration or contamination; 2) leaching which can settle the size distribution of our samples, differently from what expected in atmospherless planetary conditions.

From these samples, two PLs with different iron content and two different mafic, multimineralic compositions were separated using a Frantz Isodynamic Magnetic Separator at the Department of Chemistry, Life Sciences and Environmental Sustainability, University of Parma. In Tab. 1, the applied amperage, removed minerals and new samples obtained after magnetic separation are shown. The purity of the end-members has been determined by optical means (X-ray diffraction has been rejected since it is not accurate for phase abundance less than 5%).

167 The selected PL phases include a medium-iron (PL2) and an iron-rich (PL3) PL (both An_{80} , with 0.36
168 and 0.5 wt. % FeO, respectively), while the Fe, Mg (mafic) compositions consist of two distinct
169 mineral assemblages, one PX-bearing (E1), and one OL-rich and PX-poor (E3). The Fe, Mg phases
170 were also analyzed by Mössbauer spectroscopy in order to have a better comprehension of the iron
171 oxidation state (for further details, please refer to Serventi et al., 2013). Fitting of Mössbauer results
172 showed the absence of additional phases.

173

174 **3.2 Mixture preparation**

175 Mixtures of Fe, Mg (E1, E3) and PL (PL2, PL3) end-members were prepared at two different particle
176 sizes (63-125 and 125-250 μm). For each particle size, contents ranging between 20-90 wt. % of each
177 PL end-member was added to each Fe, Mg end-member.

178 For each of the resulting mixtures, we calculated the vol. FeO% due to PL phase with respect to the
179 mixture. The volumetric FeO% is calculated as the FeO wt. % content in PL multiplied by modal PL
180 (%) in each mixture. All the results presented in this work are plotted vs. the vol. FeO in PL.

181 Starting from the 63-125 μm mixtures and end-members, very fine sizes ($<10 \mu m$) were produced
182 using a micronizer at the Department of Geosciences, Padova. Each powder, with the addition of water
183 to prevent the heating of the sample, was micronized for 5 minutes; the very fine powder plus water
184 was mixed with ethanol and kept in stove for ca. 2 weeks, until all the water, and ethanol, evaporated.
185 Then, the very fine powders were weighed to check that no loss of material happened during the
186 mechanical grinding.

187

188 **3.3 Spectral measurements**

189 Bidirectional reflectance spectra of each mixture were acquired at room temperature and normal
190 atmospheric pressure with a Field-Pro Spectrometer[®] mounted on a goniometer (Istituto di Astrofisica

191 e Planetologia Spaziali, Inaf-IAPS, Roma). The spectra were acquired with a spectral resolution of ~ 3
192 nm in the VIS and of ~ 10 - 12 nm in the NIR and spectral sampling of 1 nm, with $i=30^\circ$ and $e=0^\circ$. The
193 source used was a QTH (Quartz Tungsten Halogen) lamp and the spot illuminated has an area of ca.
194 0.5cm^2 . The calibration of the spectrometer was performed with Spectralon[®] optical standard
195 (registered trademark of Labsphere, Inc.).
196 Ten spectra were acquired for each powder, emptying and refilling the cup each time, and then only the
197 mean spectrum was considered for subsequent analyses. In order to avoid problems due to a pressed
198 surface with a “slab-like behavior” or to coarser powder roughness due to the aggregation of such fine
199 particles, powders were gently shaken and pressed in the sample holder.
200 Fig. 1 shows the end-member spectra; mixture spectra are plotted in Fig. 2 and 3, while the continuum-
201 removed spectra of end-members and mixtures are reported in Fig. 4. As a comparison, reflectance
202 spectra of coarser sizes (63 - $125\text{ }\mu\text{m}$ and 125 - $250\text{ }\mu\text{m}$) are shown in Fig. 2,3.

203

204 **3.4 MGM deconvolution**

205 Each spectrum was analyzed applying the Modified Gaussian Model (MGM) algorithm (Sunshine et
206 al., 1990). Briefly, we considered a fixed continuum tangent to the spectrum reflectance maxima as
207 more reliable in the description of the spectrum shape and for modeling the strength of the absorption
208 bands (approach already followed in Clenet et al., 2011 and Serventi et al., 2015).
209 We first deconvolved the end-members, mafic and PL, as mineralogically unknown end-members,
210 assigning Gaussians only where either an absorption band or a band asymmetry are visually perceptible
211 (e.g., 900 nm band in E1 is asymmetric towards the Near Infrared, NIR, region). Then, we deconvolved
212 the mixtures:

- 1) fixing band center and band width assigned to the mafic end-member absorption bands, with the exception of 1800-2000 nm absorption band width that become visually narrower with increasing PL;
- 2) leaving free the mafic band depth, which depends on mineral abundance in a mixture (e.g. Sunshine and Pieters, 1993; Serventi et al., 2015), and Gaussian parameters assigned to the 1250 nm absorption band (for further details, interested readers are referred to Serventi et al., 2015).

A visual check of the residuals after the fitting, evidenced a pattern in the 700-1100 nm spectral range of the E1-bearing mixtures not detected at coarser sizes. For this reason, starting from values obtained from the fit with the procedure described above, we recomputed MGM leaving free the mafic parameters (see Section 4.3).

A number was assigned to each Gaussian, as described in Tab.2.

4. Results

4.1 End-member and mixture reflectance spectroscopy

A comparison between the end-members is plotted in Fig. 1. In particular, considering the very fine size, (1) PL spectra (Fig. 1a,b) are characterized by a blue slope in the NIR and are almost featureless; (2) E1 900 nm band becomes V-shaped (Fig. 1c) and the band asymmetry towards the NIR is emphasized. This asymmetry seems to be correlated to a shift of the 900 nm band towards shorter wavelengths, as if, with decreasing the size, OPX becomes even more dominant than CPX; (3) the E3 complex 1050 nm band (Fig. 1d) is characterized by three multiple absorptions due to the Fe^{2+} transitions in OL M1 and M2 sites, but the absorption centered at ca. 1250 nm seems shallower with respect to coarser sizes; (4) mafic assemblages (Fig. 1c,d) show a more marked blue slope in the short

236 wavelength infrared region (SWIR) with respect to the coarsest size, and (5) E3 (Fig. 1d) shows the
237 appearance of an evident absorption at 2000 nm.

238 Both E1 and E3 indicate more influencing OPX reflectance properties with respect to other mafic
239 phases such as OL, CPX. However, the rock sample from which E3 was magnetically separated
240 contains 2% of chromite. Even if the residual chromite in our sample after separation is very low
241 ($<0.5\%$), chromite is characterized by a deep absorption at ca. 2000 (e.g. Cloutis et al., 2004), and,
242 thus, we cannot completely rule out chromite as a contributor to the 2000 nm absorption.

243 PL end-members were magnetically separated from rocks containing PX. The separated PL was
244 investigated by optical microscope analysis with no detection of residual PX, but we have to consider
245 that PX inclusions could still be present. For this reason, the 900 nm band showed by PL spectra in Fig.
246 1a could be indicative of residual PX. Furthermore, Fig. 1b reveals how a fine size emphasizes the
247 effects of residual PX; the 900 nm band due to Fe^{2+} in PX becomes more absorbing with decreasing the
248 size (see also the continuum-removed spectrum in Fig. 4b).

249 Fig. 2, 3 show that a fine particle size (Fig. 2a, b and Fig. 3a, b) increases the reflectance and reduces
250 the spectral contrast compared to the coarsest sizes (Fig. 2c-f and Fig. 3c-f).

251 Considering the 63-125 and 125-250 μm sizes (Fig. 2c-f, 3c-f), a higher PL modal abundance results in
252 a systematic increase of the reflectance, whereas in the $<10 \mu\text{m}$ size some spectra deviate from this
253 behavior, such as the 70%PL3-E3 and the PL end-members (see Fig. 2a,b, 3a,b). As explained in
254 Section 3., working with such fine sizes means to deal with problems due to too much pressed surfaces
255 or to a powder roughness. For this reason, spectra acquired on the same sample have variable
256 reflectance values, with the mean spectrum showing a standard deviation up to ca. 6% that can explain
257 the reflectance non-linear behavior with increasing PL modal abundance.

258 PL in very fine E1-bearing mixtures results in a weaker absorption band with respect to the coarse sizes
259 and is revealed by a flattening in the 1200 nm spectral region for 80% PL-mixture (Fig. 4a,b). A weak

absorption band can be recognize only for more than 90% PL (light-grey spectra in Fig. 4a,b), but always shallower than PX absorption bands. Serventi et al. (2013) demonstrated how the spectral parameters describing the COMP band, due to the simultaneous absorptions of OL and PL, give information on the relative minerals' modal abundance. In particular, considering coarse E3-bearing mixtures, the COMP band center shifts from typical OL values (1050 nm) to PL values (1250 nm) for PL content >70% (see their Fig.13b). However, investigating the < 10 μ m size, the center shifting is not detectable (Fig. 4c,d); this is consistent with the featureless nature of PL.

268

4.2 End-member deconvolution

End-members were deconvolved via MGM (see Fig. 5a, 6a; to show the goodness of the fit, RMS is plotted). E1 residual (Fig. 5a, h) shows a sinusoidal pattern in the 700-1100 nm; comparing our residuals with those from Klima et al. (2008), which showed the same pattern and the same RMS as ours, we decided not to add additional Gaussians.

A comparison with the deconvolution of coarser sizes (see Tab. 3) demonstrates how the 900-1000 nm absorptions are fitted with the same number of Gaussians, with the only exception of E1, where an adjustment Gaussian (G5) centered at ca. 840 nm is not here introduced (in accordance with Klima et al., 2007). Despite end-member residual seems to indicate a sinusoidal pattern, covariance matrix does not allow to add more Gaussians. Moreover, a similar residual pattern is present also in Klima et al. (2007) and Klima et al. (2011). On the contrary, G5 is required for coarser size as discussed in Serventi et al. (2015; 2016) and in accordance with Sunshine and Pieters (1993).

To summarize, Tab. 3 shows how reducing the particle size (i.e., from 250 to 10 μ m) of E1:

- 1) As aforementioned, G5 is not needed;

283 2) Bands are shallower, above all G1 and G3, and the Gaussians describing the different
284 absorption bands are very similar in terms of band depth, thus reflecting the general spectral
285 contrast;

286 3) G1 and G2 centers shift towards shorter wavelengths (more than 40 nm);

287 4) The 1850 nm absorption is fitted with only one Gaussian (G3); and

288 5) Band widths do not show significant changes.

289 Considering E3, a reduced size produces shallower bands, and a narrower G8 with respect to coarse
290 ones; G6-G8 centers shift towards longer wavelengths, but with reduced shifts compared to E1.

291 G7 is the deepest one and this is not consistent with the results by Sunshine and Pieters (1998), where
292 G8 is the deepest. However, Buz and Ehlmann (2014) demonstrated how extreme size values may
293 affect the OL spectral parameters that, consequently, deviate from the trend found by Sunshine and
294 Pieters (1998). The authors showed how at very fine sizes ($<45\ \mu\text{m}$) G7 is the deepest Gaussian, also in
295 accordance with results from Burns (1993). However, considering E3 (Fig. 6a), G6 is slightly deeper
296 than the results by Buz and Ehlmann (2014), but here we are dealing with even finer sizes.

297 Furthermore, the OPX component may influence G6 depth.

298 The longest wavelengths of E3 are deconvolved with a Gaussian at 1963 nm that can be tentatively
299 assigned either to PX or to chromite (Fig. 6a; Tab. 3).

300 Tab. 4 reports the PL end-member spectral parameters after MGM deconvolution for the different
301 particle sizes. MGM fits are also shown in Fig. 5g,n. In particular, reducing the size, the 1250 nm
302 absorption can still be considered, even if for the finest size the band depth is close to zero. The band
303 center shifts towards longer wavelengths both for PL2 and PL3, even if with shifts less than 10 nm.

304 Tab. 4 shows how a coarse PL2 is deeper than the iron-rich PL3 (also refer to Serventi et al., 2015;
305 2016); however, the $<10\ \mu\text{m}$ PL3 is deeper than PL2. This can be related to the residual PX in PL2
306 (after magnetic separation is estimated to be less than 1%) that at very fine sizes becomes spectrally

important, thus influencing and reducing the PL band depth. Furthermore, the residual PX produces a 900 nm absorption band that is only slightly less deep than the PL absorption band (0.015 vs. 0.026 - LnRefl, also see Fig. 3a). The 900 nm band depth in PL3, not detectable at the coarser sizes, represents the 30% of the <10 μm PL band depth (see Tab. 4), a further demonstration of the featureless behavior of fine PL.

G11, that in literature (e.g., Pieters, 1996; Hiroi, 2012; Serventi et al., 2015; 2016) was tentatively attributed to PL, at the <10 μm size is shallower, shifted towards longer wavelengths (1940-1950 nm) and narrower with respect to the coarse sizes. Consequently, in this case, G11 probably adjusts the vibrational processes related to the OH^- alterations of the natural PL here considered.

4.3 Mixture deconvolution

Mixtures were deconvolved following the procedure described in Section 3. Fitting results, as well as RMS, are shown in Fig. 5, 6.

PL band slightly deepens in E1 mixtures (triangles in Fig. 7a), while COMP band decreases in E3 mixtures (stars in Fig. 7a). In Fig. 7b, PL and COMP band center shifts towards longer wavelengths with increasing the vol. FeO% in PL. Both PL (triangles in Fig. 7c) and COMP (stars in Fig. 7c) band widen with increasing vol. FeO% values in mixtures.

Fig. 8a,b show that increasing the vol. FeO% in PL, the Gaussians describing the mafic absorptions become shallower. Fig. 8a displays in detail the behavior described in Section 4.1, with mafic absorption bands deeper in 60%PL2-E1 and in 80%PL2-E1 than in 50%PL2-E1 and 70% PL2-E1, respectively. However, we report the error bar for band depth, calculated in the 95% confidence interval, and it is clear how 50%PL2-E1 has a much larger error bar than 60%PL2-E1 ($\pm 0.05 \text{LnRefl}$ with respect to $\pm 0.015 \text{LnRefl}$). Fig. 7b shows how the depth of Gaussians describing the mafic absorptions in E3-bearing mixtures decreases more linearly than E1-mixtures.

331 As stated in Section 3.4, the mafic parameters, with the exception of the depth, has been kept fixed in
332 the first iteration. Basing on the residuals, we decided to leave free those parameters. Their variations
333 have been reported in Fig. 8b,c and in Fig. 8e, for E1 and E3-bearing mixtures, respectively. Fig. 8b
334 shows that G1 and G2 move towards longer wavelengths with increasing PL modal abundances;
335 however, G1 variations for <90% PL and G2 variations for <80% PL are less 10 nm, respectively, and,
336 so within the instrumental spectral resolution. On the contrary, G1 and G2 shift towards longer
337 wavelengths of 12 nm (90% PL), and of 20 nm (80% PL) and 40 nm (90% PL), respectively. These
338 values, in particular for G2, cannot be justified by the spectral resolution but must be related to the
339 presence of PL which is not only accommodate by G10 and G11. Fig. 8c reports how G3 becomes
340 narrower with increasing PL modal abundances, while G2 varies slightly only for PL more than 80%.
341 G3 variations can be related to PL.

342 Regarding E3-bearing mixtures (see Fig. 8e), only G3 width varies: G3 becomes narrower with
343 increasing the PL content (with no differences between PL2 and PL3-bearing mixtures, as the values
344 lay on the bisector line), with substantial variations for mixture with more than 70% PL.

345 Fig. 9 shows a comparison for the spectral parameters after MGM deconvolution for the three different
346 particle sizes: <10 μm (pink and violet symbols, for PL2 and PL3-bearing mixtures, respectively), 63-
347 125 μm (light-blue and blue symbols for PL2 and PL3-bearing mixtures, respectively) and 125-250 μm
348 (yellow and red symbols for PL2 and PL3-bearing mixtures, respectively). As already stated in section
349 2.3, the principal difference regards the band depth; Fig. 9a,d evidence how PL and COMP band are
350 shallower compared to coarser sizes, with depth close to zero. In addition, the band width in the fine
351 particle size is strongly reduced (Fig. 9c,f), particularly in E3-bearing mixtures.

352

353 5. Discussions

354 In this paper we investigated the effects of a very fine particle size on the reflectance spectroscopy of
355 PL, mafic end-members and PL-bearing mixtures.

356 As already stated by Adams (1968) and Pieters (1983), a fine particle size increases the reflectance and
357 decreases the spectral contrast. Mustard and Hayes (1997) also evidenced how, fining the size, OL
358 becomes brighter but shallower, and bluer in the NIR (see their Fig. 4A).

359 In this work, we also showed how the spectra of very fine PL become featureless, with a bluer slope
360 than a coarse PL in the IR, and how the difference in reflectance between mixtures and end-members is
361 reduced. Consequently, the general reflectance's increase with increasing the PL abundance
362 documented at coarser sizes, may not be always respected. This behavior, as well as the bluer slope
363 towards the IR, similar to what happens in bulk surfaces of rocks spectra (as also postulated by Harloff
364 and Arnold, 2001, Pompilio et al., 2007; Carli, 2009), clearly demonstrates how a very fine size could
365 complicate the interpretation of a reflectance spectrum (e.g., slope similar for very fine size and slab of
366 rocks), as well as the evaluation of the minerals' modal abundance.

367 Cheek and Pieters (2014) and Serventi et al. (2015, 2016) demonstrated how, considering PL-
368 dominated mixtures (PL>70/80%), Fe²⁺-bearing PL can significantly contribute to reflectance spectra,
369 also affecting mafic mineral absorption bands. In fact, in PX-bearing mixtures PL absorption band is
370 even deeper than PX bands for very high PL content, while, in OL-bearing mixtures, the COMP band
371 position shifts towards the typical PL center values (1250-1300 nm) for high PL content. All these
372 effects are stronger in the 125-250 µm size than in 63-125 µm one, thus supporting that a coarse PL is
373 spectroscopically more active than a fine one. On the contrary, this work evidences the unpredictable
374 effects of a very fine size on reflectance spectroscopy. For example, the band depth of a very fine PL is
375 very shallow, with a Gaussian depth almost close to zero, and the COMP band center always shows the
376 typical OL values, even for PL>90%. This testifies how a reduced size can mask the contribution of PL

on reflectance spectra, with a consequent and possible PL underestimation, that, obviously, leads to mistakes in the interpretation of reflectance spectra from a Solar System body's surface. Furthermore, we suppose that the 1800 nm absorption detected at coarse sizes may be not effective at such fine size. In the very fine PL considered in this work, G11 is narrower and shifted towards longer wavelengths (1940-1950 nm) with respect to the coarse sizes and, therefore, probably related to the OH⁻ alteration of the terrestrial PL.

Here, we introduce an index called Band Depth Ratio (B.D.R.) calculated as follow:

$$B.D.R.(E1) = \frac{B.D. G10}{B.D. G10 + B.D. G1 + B.D. G2} \quad [1]$$

$$B.D.R.(E3) = \frac{B.D. G9}{B.D. G9 + B.D. G6 + B.D. G7} \quad [2]$$

Where B.D. is the band depth of each Gaussian. This index returns the relative intensity of PL and COMP bands with respect to all the Gaussians describing the 1000 nm absorption.

We calculated B.D.R. (E1, E3) for <10, and 63-125 and 125-250 μm (Serventi et al., 2015) sizes.

Results are plotted vs. the 63-125 μm size (Fig. 10); the bisector indicates the ideal conditions that the relative depths do not change with the size. However, symbols do not lay on the bisector: 125-250 μm values (blue symbols) stay in general slightly above the line, while <10 μm values (magenta symbols) are under the line. This demonstrates that, considering the 63-125 μm size as a reference, coarse PL can be slightly overestimated, and very fine PL could be easily underestimated. Moreover, increasing the PL abundance generally increases also the difference between B.D.R. for <10 μm and for 63-125 μm. The spectral properties of mafic end-members are affected by very fine sizes too. Regarding E1, the 900 nm absorption band is more V-shaped, asymmetric towards the NIR with respect to the coarse sizes and shifted towards shorter wavelengths, thus simulating different mineral content and/or different mineral chemistry (shorter wavelengths correspond to Mg-richer and Ca-poorer PX). The very

399 fine E1 deconvolution does not require G5, on the contrary needed for coarser sizes (see also Pieters et
400 al., 1993; Serventi et al., 2013).

401 On the other hand, the fine E3 end-member shows two main differences if compared to coarser sizes.
402 The G6-G8 relative depths are not consistent with the trend proposed by Sunshine et al. (1998),
403 confirming the unpredictable effects of very fine sizes; in fact, Buz and Ehlmann (2014) demonstrated
404 how extreme sizes affect the spectral parameters of OL. Furthermore, the fine E3 longest wavelengths
405 show an absorption not detected at coarser sizes. Since E3 is characterized by 70% of OL and 30% of
406 OPX, it seems plausible that at very fine size the presence of OPX, not detectable at coarser sizes,
407 could become an important spectroscopic contributor.

408 The spectral properties seen in E1 (band center shifting) together with the behavior seen for E3 in the
409 longest wavelengths, seem to evidence how OPX spectrally dominates at such fine size with respect to
410 other, mafic phases, which present a lower amount of total iron. However, E3 band can be related to
411 residual chromite after magnetic separation.

412 Comparing 63-125 μm and 125-250 μm sizes, Gaussians shift less than 10 nm. The FieldSpec spectral
413 resolution varies between 2 and 12 nm: thus, the band center shifting falls in the instrumental
414 resolution. On the contrary, mafic band centers for <10 μm powders are shifted of ca. 40 nm with
415 respect to the coarser sizes; this is because we are dealing with sizes that are approximately the same
416 size as the wavelength of light and this could lead to unexpected behaviors of the spectral parameters.

417 Fig. 11 reports spectra of lunar soils (from the Lunar Soil Characterization Consortium, Pieters et al.,
418 1993; 2000) at three different fine to very fine particle sizes (20-45 μm blue spectra, 10-20 μm red
419 spectra and <10 μm black spectra) acquired from the Apollo missions 14 and 16. In particular, samples
420 #67481 and #67461 are more enriched in PL than samples #14141 and #62231. Generally, reducing the
421 size the reflectance increases. As expected, absorption bands are shallower and, in particular, the 1250
422 nm weak band becomes almost featureless in <10 μm spectra. Furthermore, Fig.11 emphasizes the

423 behavior of the $<10\ \mu\text{m}$ fractions: spectral contrast is extremely reduced if compared to the $10\text{-}20\ \mu\text{m}$
424 size. Anyway, we have to take into account that spectra of lunar samples are affected by the presence of
425 npFe° due to the space weathering, which implies a red slope and lower albedo than expected for PL-
426 rich fine powders. Nevertheless, the spectra from lunar soils are in accordance with a relative increase
427 in albedo and a strong reduction in absorptions reducing the size and an almost featureless spectrum for
428 $<10\ \mu\text{m}$ size, losing information about PL absorption.

429 Generally, the main feature that discriminates the very fine size is the high albedo, correlated with the
430 decreasing absorption intensity. However, due to the space weathering, this feature may not be so
431 easily recognized on lunar spectra. The low spectral contrast could be evidence of very fine size as
432 well; however, a fine size is not the only reason to explain reduced contrasts (e.g., space weathering,
433 shock effects).

434

435 **6. Conclusion and implications for the Moon**

436 The Moon regolith, generally $<1\ \text{cm}$ in size, comprises the lunar soil, which represents the finest
437 fraction of the regolith and derives from mechanical disintegration of lunar rocks, both basaltic and
438 anorthositic, and is generally between 60 and $80\ \mu\text{m}$. Very fine sizes ($<10\ \mu\text{m}$) were recognized and
439 thought to represent the $5\text{-}20\%$ of the lunar regolith; PL is the dominant phase of this fine regolith
440 (Laul et al., 1978; 1979; 1980).

441 In this work, we demonstrated how a very reduced size implies a higher albedo and a decrease in the
442 spectral contrast, particularly for the PL spectra that become almost featureless. On the other hand, we
443 showed how the iron-richest mineral in the considered mixtures, OPX, seems to spectrally dominate
444 other mafic and cogenetic minerals, CPX and OL, at the very fine size (e.g., the $900\ \text{nm}$ band shift
445 towards shorter wavelengths in E1 and the $2000\ \text{nm}$ reflectance decrease in E3).

446 This has important implications for the lunar regolith, where PL is abundant. For example, the presence
447 of PL spectra with a well-defined and deep 1250 nm absorption gives indications not only on the
448 crystallinity of the mineral but also on the particulate size that cannot be too fine in the areas where PL
449 absorption is detected. On the other hand, featureless PL spectra can lead to an erroneous interpretation
450 of the PL abundance, and/or chemistry that can be underestimated. These results evidence that more
451 detailed investigations on such fine sizes should be pursued to better understand how to retrieve
452 composition by modeling remote sensing data.

453

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460

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546

547

548 **Figure captions**

549 1) End-member reflectance spectra at three different particles sizes, 125-250 μm (black), 63-125
550 μm (dark gray) and $<10 \mu\text{m}$ (light gray). Generally, reducing the particle sizes the albedo
551 increases and the spectral contrast is reduced. a,b) Very fine PL are characterized by featureless
552 spectra with a blue slope; c) very fine E1 shows a 900 nm band shifted towards shorter
553 wavelengths and more asymmetric towards the NIR with respect to the coarse sizes; and d) the
554 fine E3 complex 1050 nm band is characterized by three multiple absorptions, but the
555 absorption centered at ca. 1250 nm seems shallower with respect to the coarse sizes and is
556 evident the appearance of an absorption at 2000 nm.

557

558 2) Reflectance spectra of E1-PL mixtures at three different particle sizes. a,b) E1+PL2 and
559 E1+PL3, $<10 \mu\text{m}$; c,d) E1+PL2 and E1+PL3, 63-125 μm ; and e,f) E1+PL2 and E1+PL3, 125-
560 250 μm . Generally, a fine particle size produces spectra with higher reflectance and reduced
561 spectral contrast compared to the coarsest sizes.

562

563 3) Reflectance spectra of E3-PL mixtures at three different particle sizes. a,b) E3+PL2 and
564 E3+PL3, <10 μm ; c,d) E3+PL2 and E3+PL3, 63-125 μm ; and e,f) E3+PL2 and E3+PL3, 125-
565 250 μm . Generally, a fine particle size produces spectra with higher reflectance and reduced
566 spectral contrast compared to the coarsest sizes.

567

568 4) End-member and mixture continuum-removed reflectance spectra. Generally, increasing the PL
569 content in mixtures, the mafic absorptions are reduced, while the PL band behavior depends on
570 the mafic end-member. a,b) E1-PL2 and E1-PL3 mixtures, respectively. PL produces an
571 absorption bands only for very high PL content, more than 80%; c,d) E3-PL2 and E3-PL3,
572 respectively. The COMP band center shifts from typical OL (1050 nm) values to PL (1250 nm)
573 values is not detectable/noticeable.

574

575 5) MGM deconvolution and residuals (expressed in terms of Root Mean Square, RMS) of E1-
576 bearing mixtures. RMS values are consistent with Klima et al. (2011). a-g) E1-PL2 mixtures; h-
577 n) E1-PL3 mixtures. Continuum-removed reflectance is expressed as $-\text{LnRefl}$.

578

579 6) MGM deconvolution and residuals (expressed in terms of Root Mean Square, RMS) of E3-
580 bearing mixtures. RMS values are consistent with Klima et al. (2011). a-g) E1-PL2 mixtures; h-
581 n) E1-PL3 mixtures. Continuum-removed reflectance is expressed as $-\text{LnRefl}$.

582

583 7) The figure shows the spectral parameter variations in mixtures composed with E1/E3 and PL2,3
584 after MGM deconvolution. In particular, with increasing the volumetric FeO% in PL: a) PL
585 band deepens (triangles), while the COMP band depth decreases (stars); b) PL band center

(triangles) and COMP band center (stars) shift towards longer wavelengths (triangles); and c) both PL (triangles) and COMP band (stars) widen.

8) The figure shows the spectral parameter variations in mixtures composed with E1/E3 and PL_{2,3} after MGM deconvolution. In particular, with increasing the volumetric FeO% in PL: a,d) the mafic band depth decreases both in E1 and in E3-bearing mixtures. Fig. 8a also shows the vertical error bars for the 50-80% PL₂-E1 mixtures; b) G1 and G2 move towards longer wavelengths with increasing PL modal abundances. G1 variations and G2 variations for <80% PL are less than 10 nm and, so, explainable by the instrument spectral resolution; G2 shifts for 80% and 90% PL can be related to the presence of PL; c) G3 become narrower with increasing PL modal abundances, while G2 varies only slightly; only G2 variations for more than 70% PL₂ can be related to PL, while other variations are, again, explainable with the instrument spectral resolution; and e) G3 becomes narrower with increasing the PL content (with no differences between PL₂ and PL₃-bearing mixtures, as the values lay on the bisector line), but variations are substantial only for more than 80% PL.

9) The figure shows a comparison for the spectral parameters after MGM deconvolution for the three different particle sizes: <10 µm (violet symbols), 63-125 µm (pink symbols) and 125-250 µm (fuchsia symbols). a,d) PL (in E1-bearing mixtures) and COMP (in E3-bearing mixtures) band depths are very reduced compared to the coarse sizes and close to zero; b,e) the PL band center does not show great variations in E1-bearing mixtures, while in E3-PL₂ mixtures the COMP band center is shifted towards longer wavelengths. On the contrary, is shifted towards shorter wavelength in E3-PL₃ mixtures; and c,f) the band width in the fine particle size is strongly reduced, particularly in the E3-bearing mixtures.

610

611 10) Band Depth Ratio (B.D.R.) for the 125-250 μm and $<10 \mu\text{m}$ sizes vs. the 63-125 μm size. a)
612 E1-bearing mixtures; b) E3-bearing mixtures. The bisector indicates the ideal conditions that
613 the relative depths do not change with the size; however, 125-250 μm values (blue symbols)
614 stay above the line, while $<10 \mu\text{m}$ values (magenta symbols) are under the line.

615

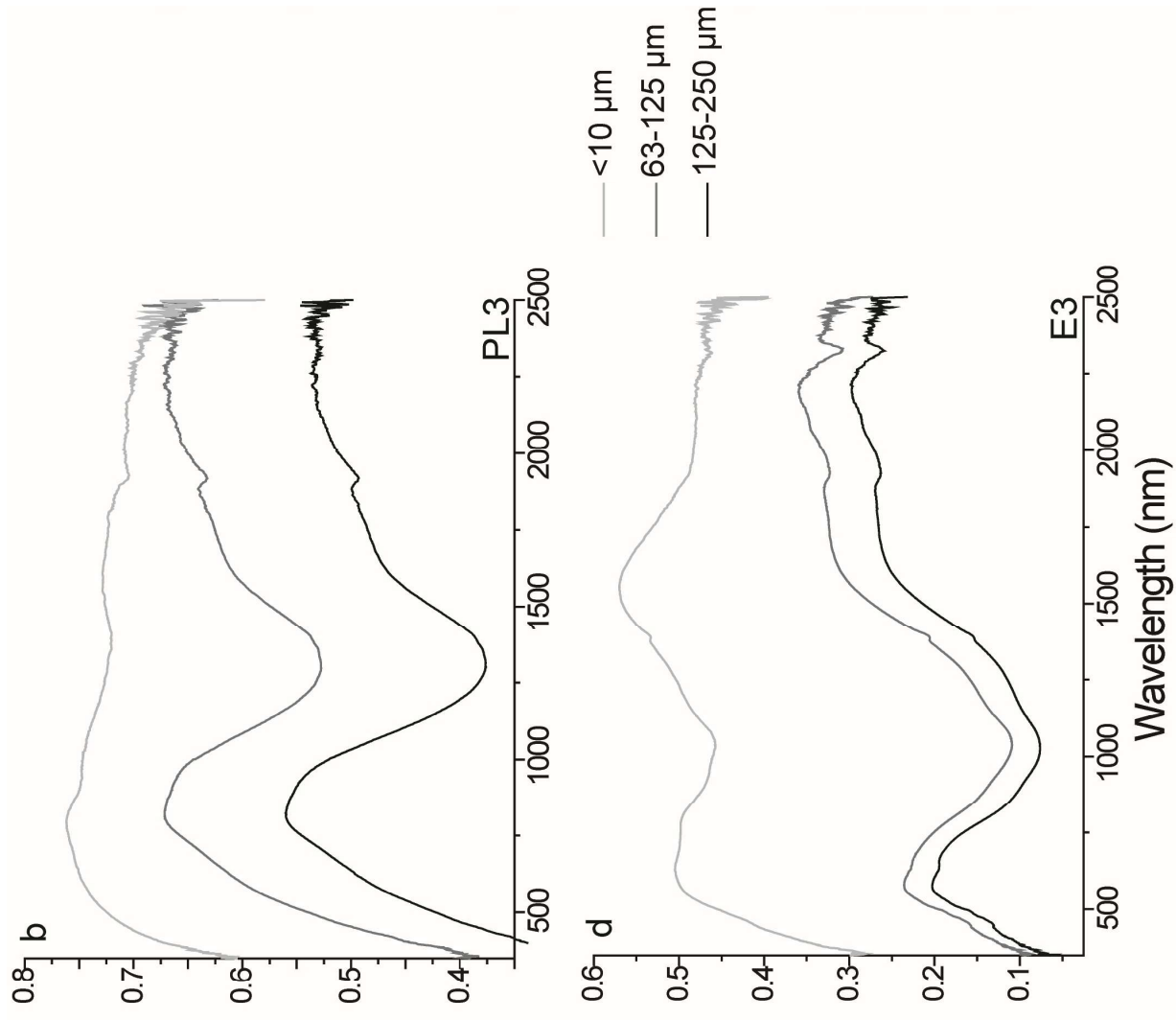
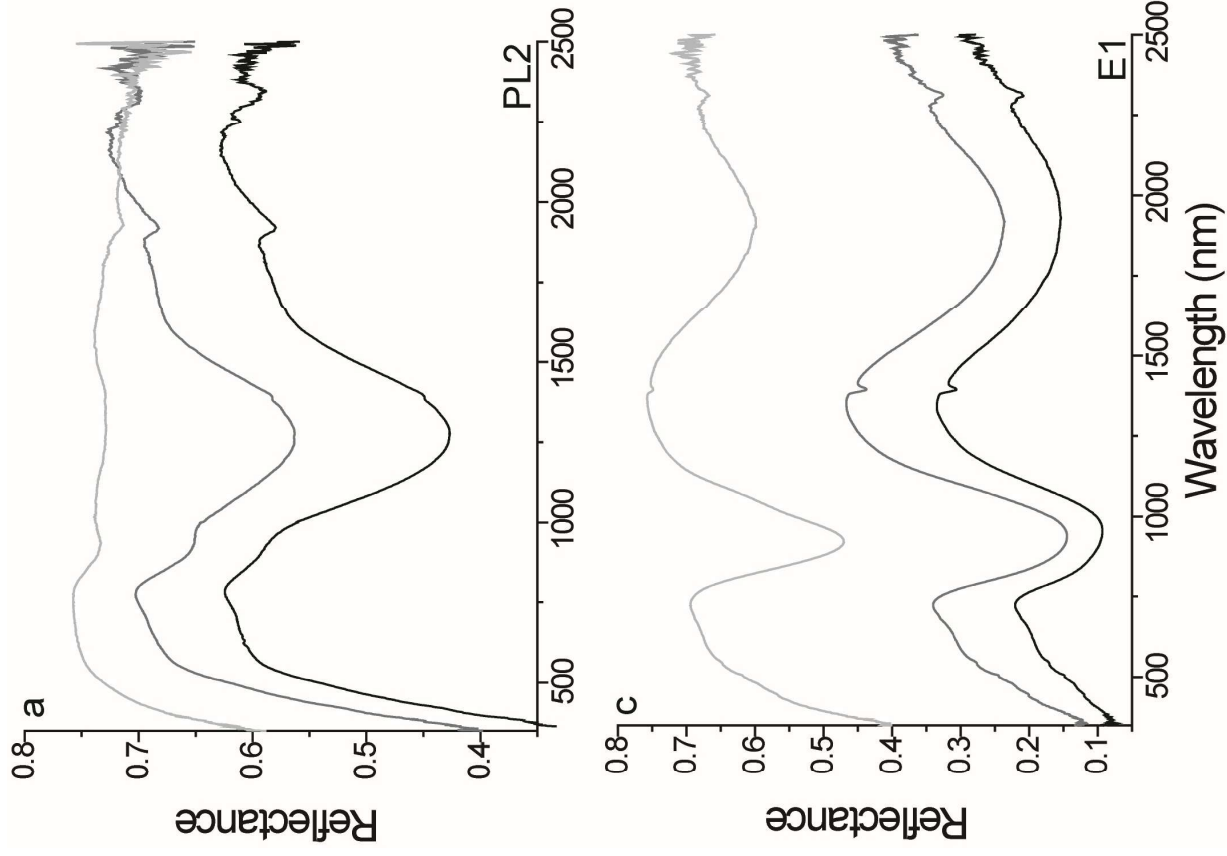
616 11) Spectra of lunar soils (from the Lunar Soil Characterization Consortium, from Pieters et al.,
617 1993; 2000) at three different, very fine particle sizes (20-45 μm blue spectra, 10-20 μm red
618 spectra and $<10\mu\text{m}$ black spectra). Samples #67481 and #67461 are more enriched in PL than
619 samples #14141 and #62231. Generally, reducing the size the reflectance increases, absorption
620 bands are shallower and, in particular, the 1250 nm band becomes almost featureless in $<10 \mu\text{m}$
621 spectra.

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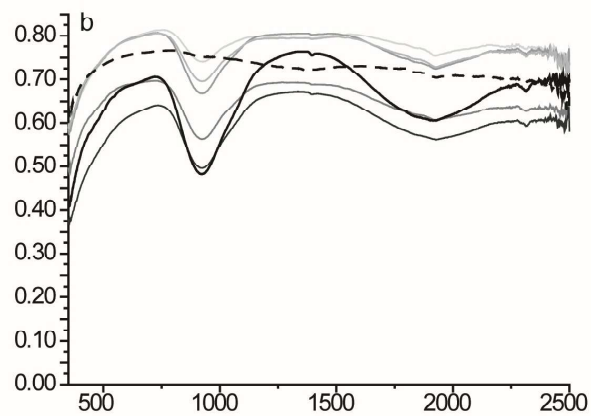
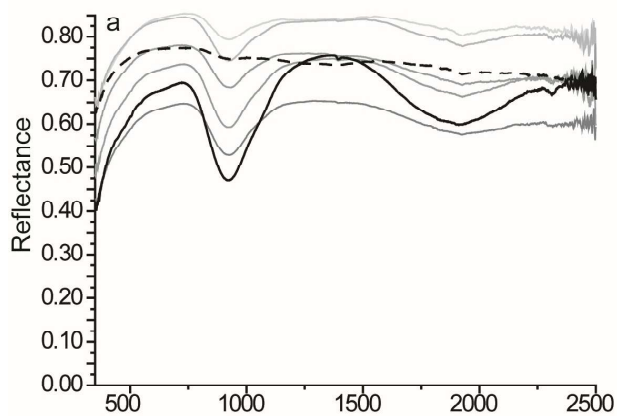
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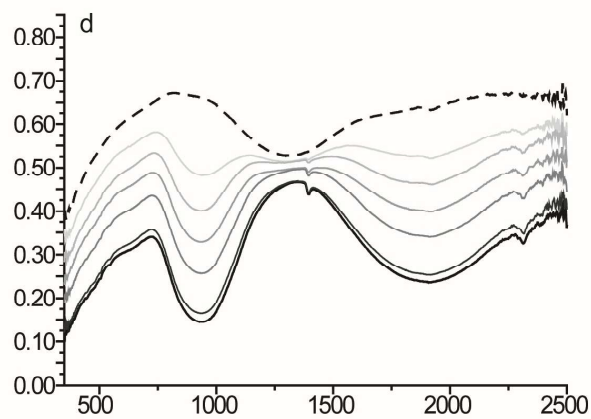
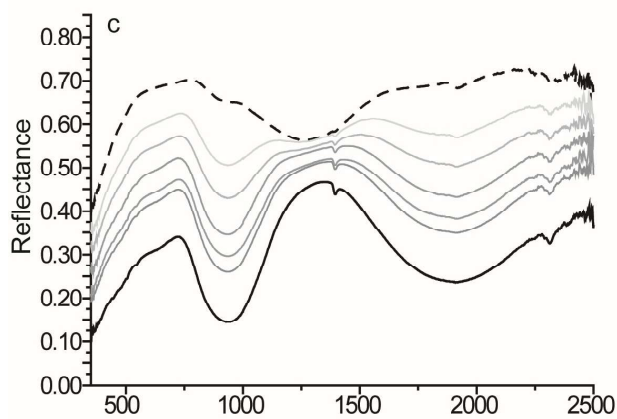
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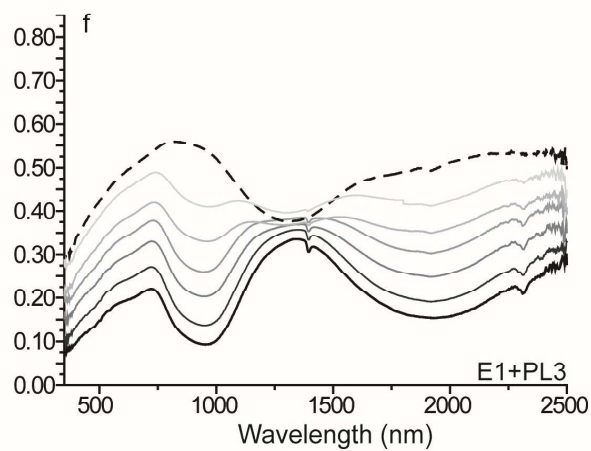
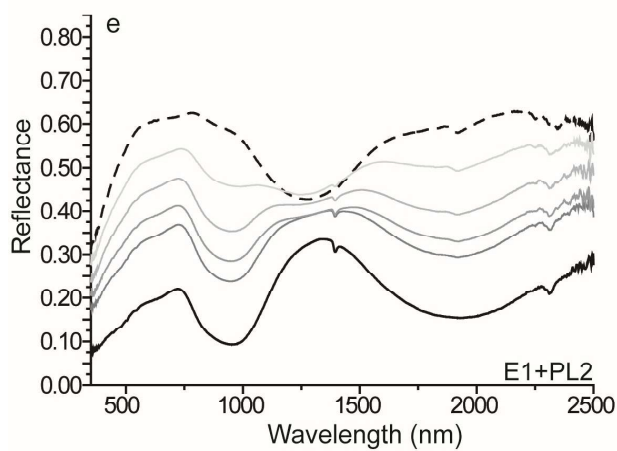
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<10 μm

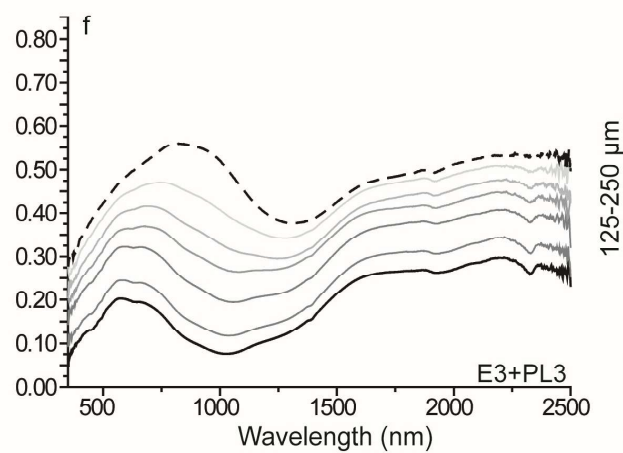
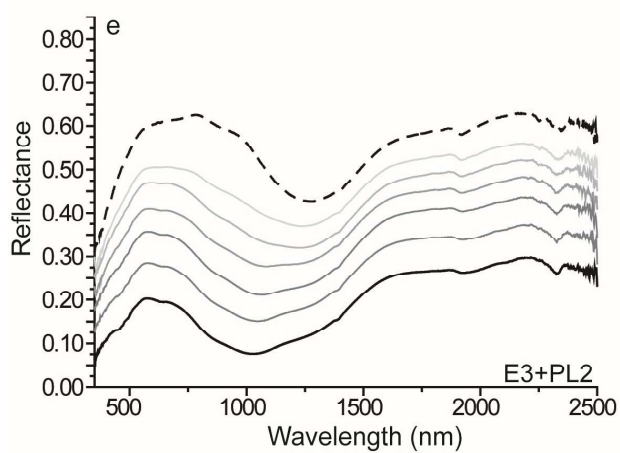
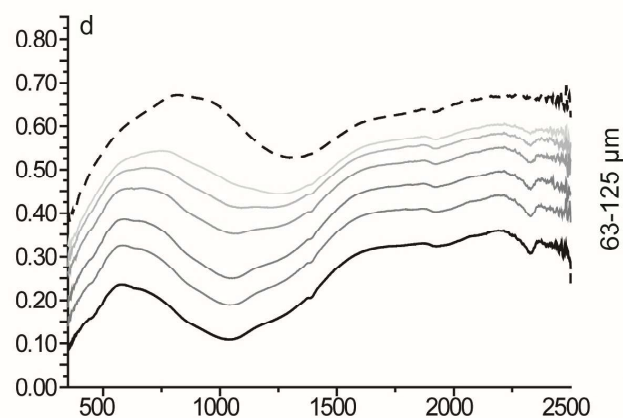
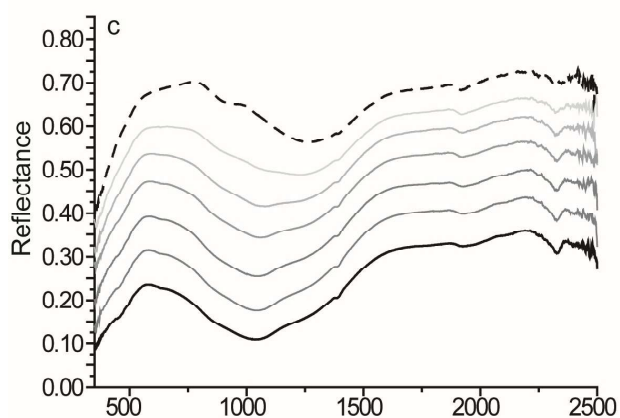
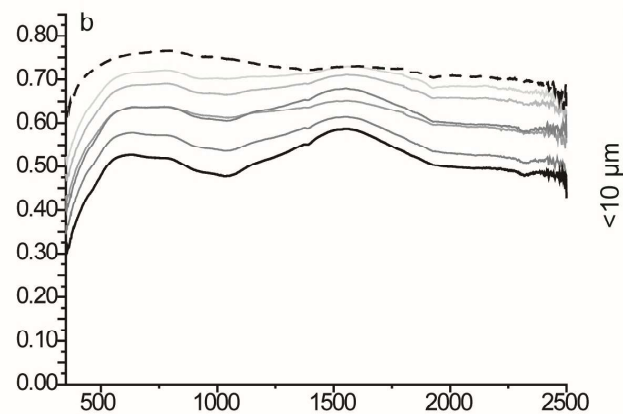
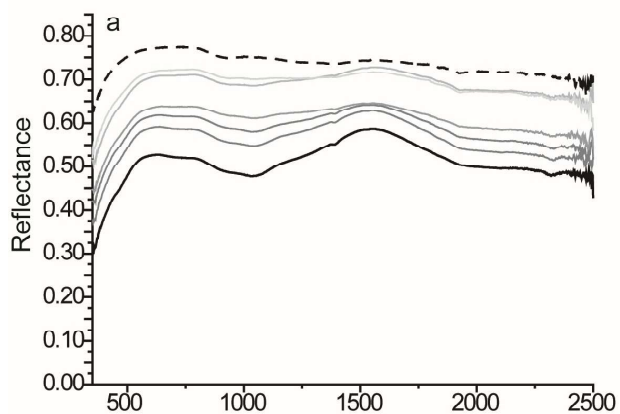


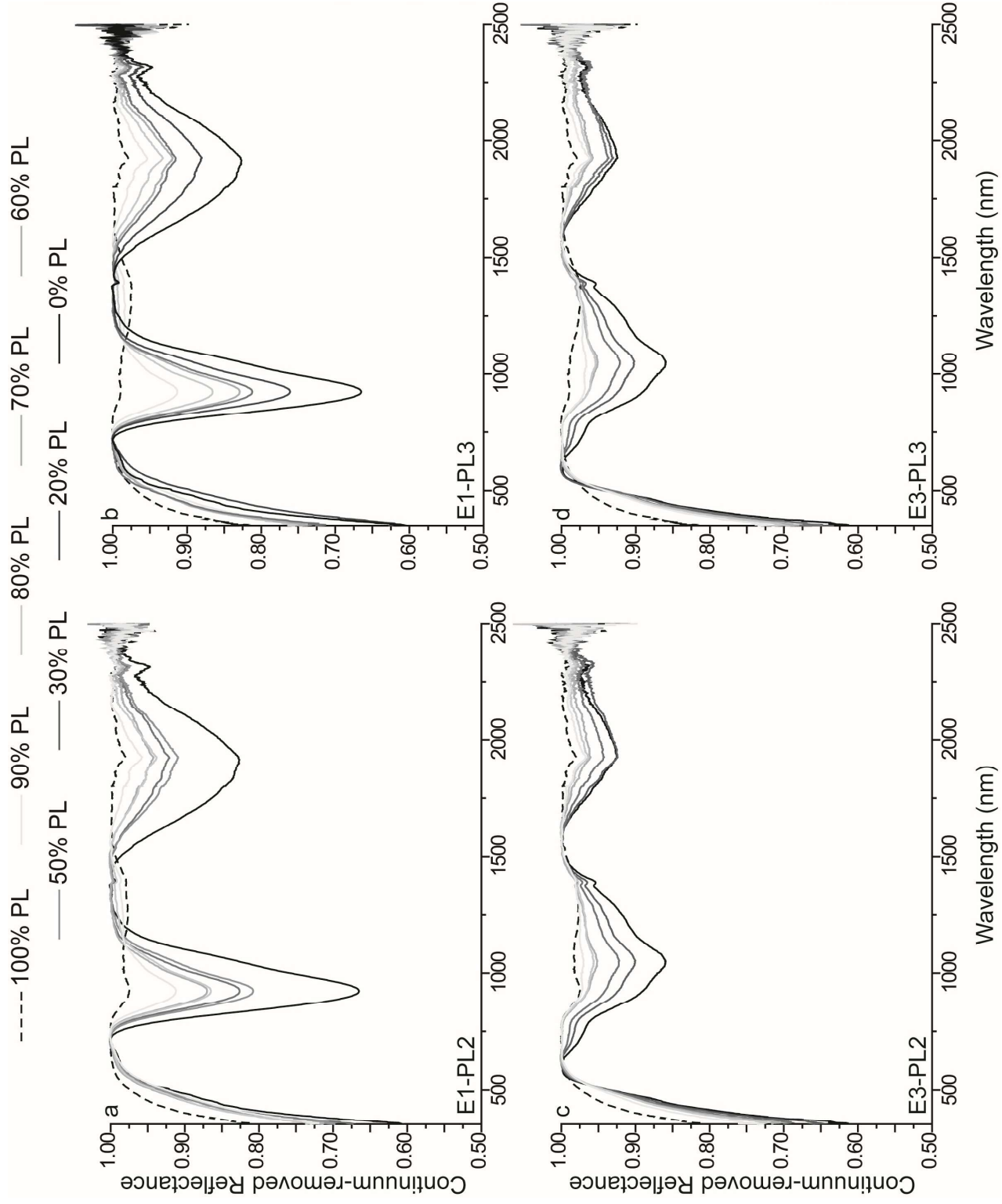
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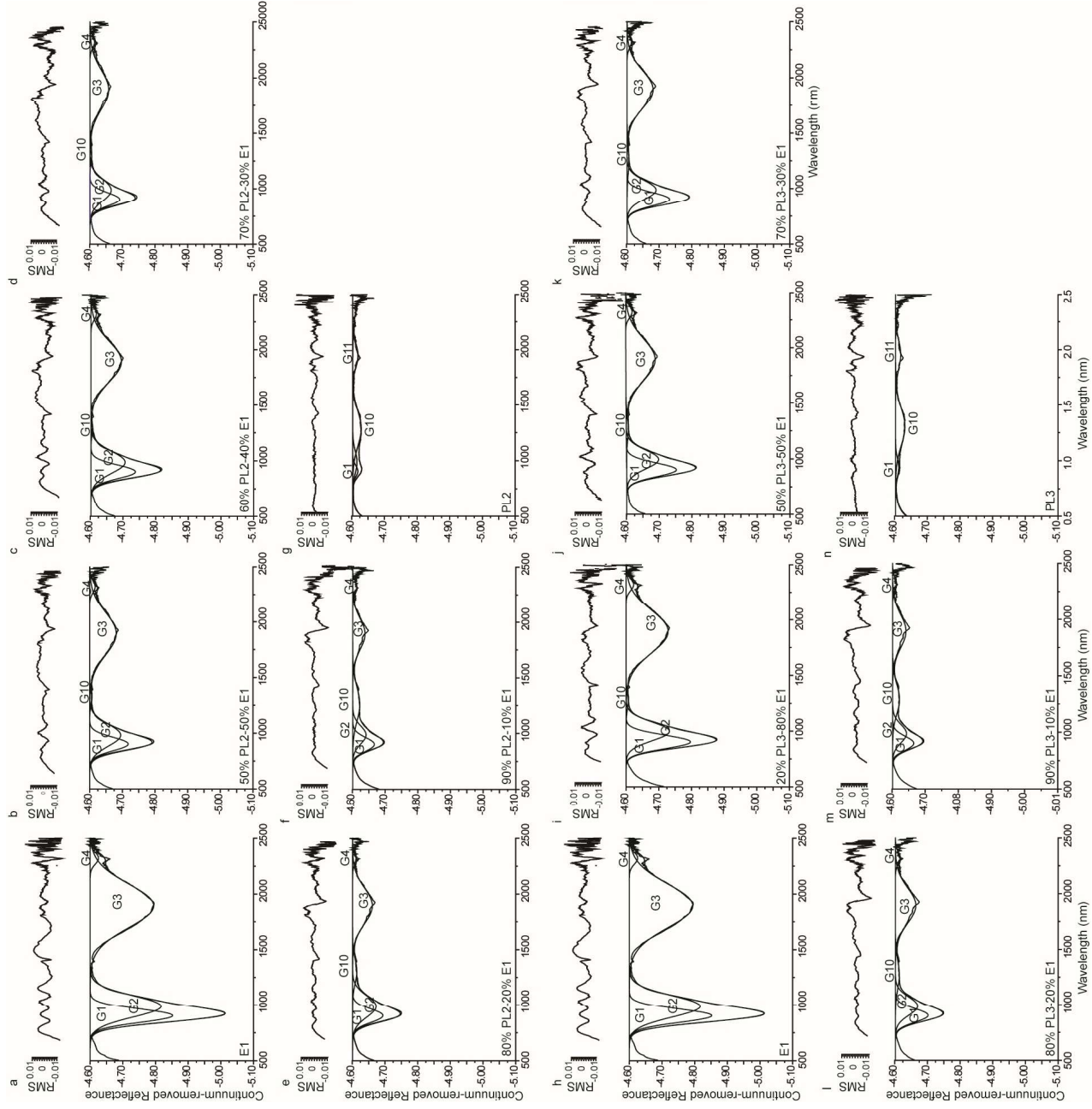


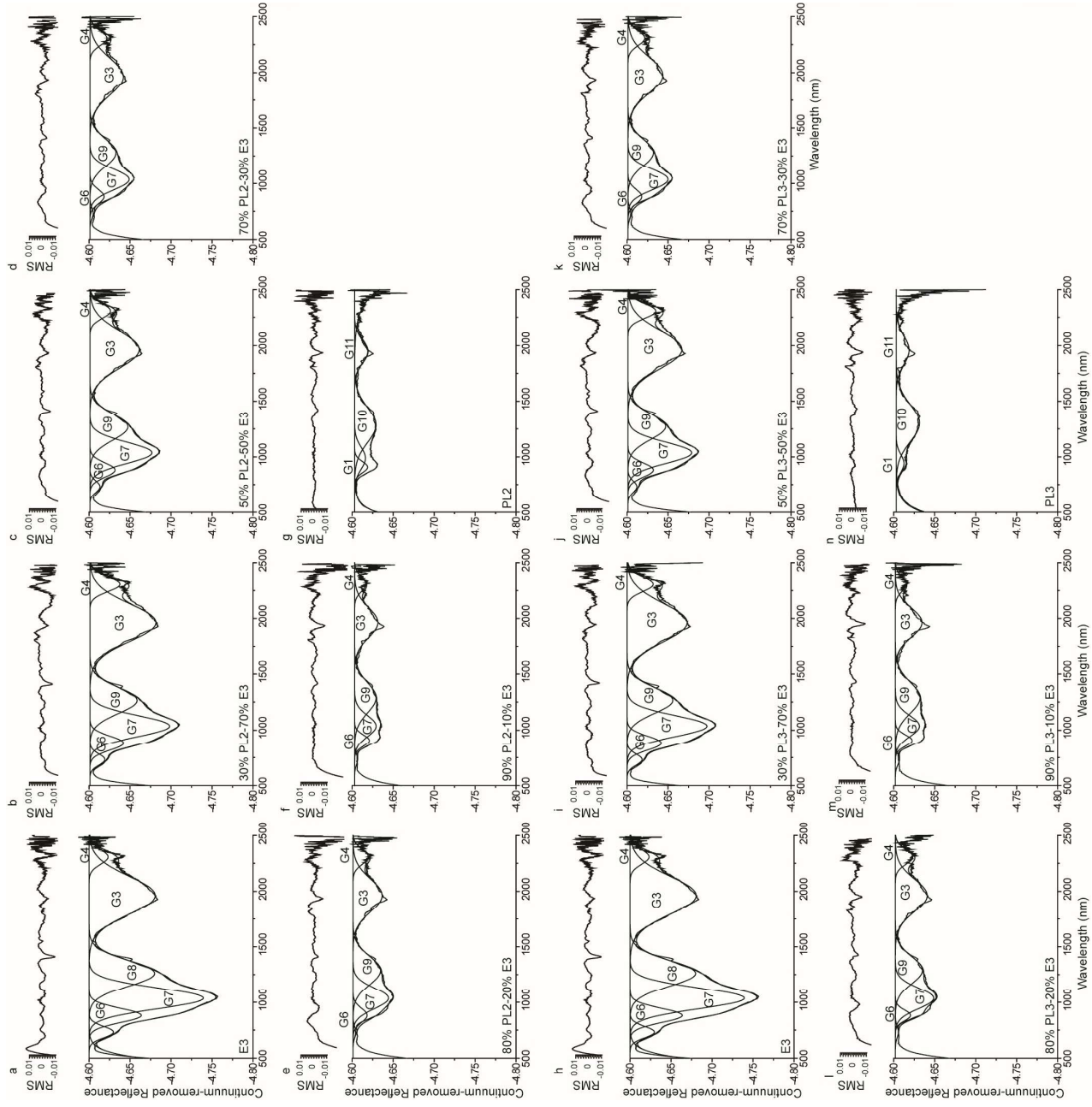
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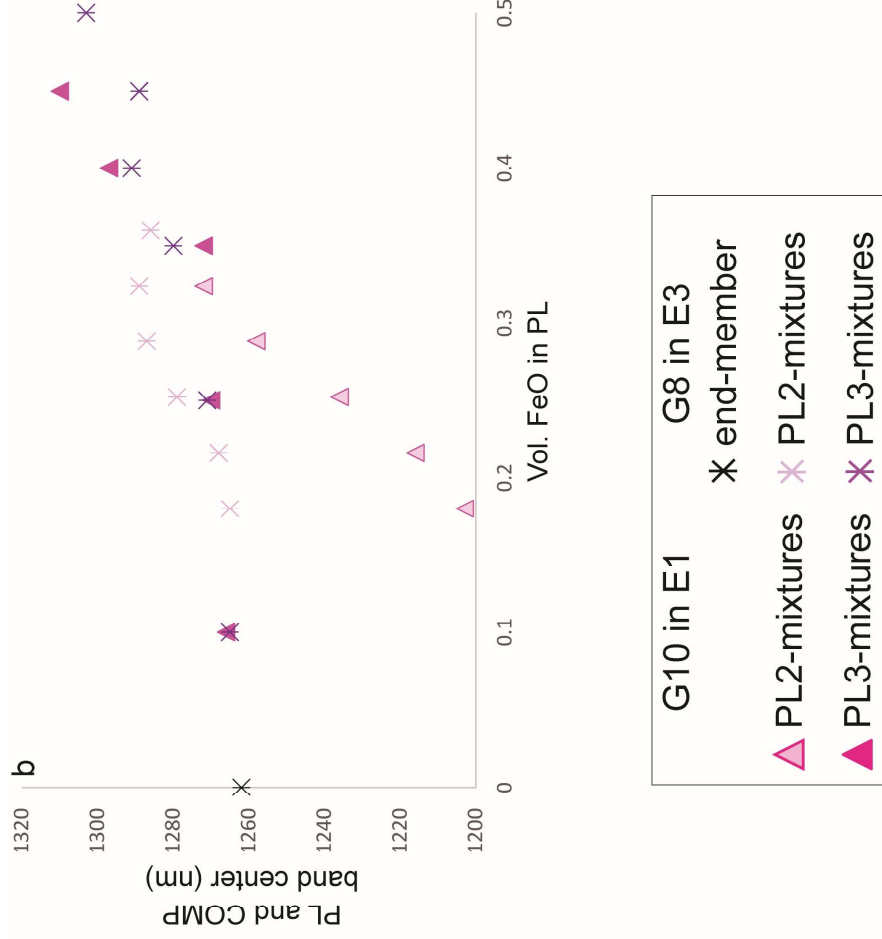
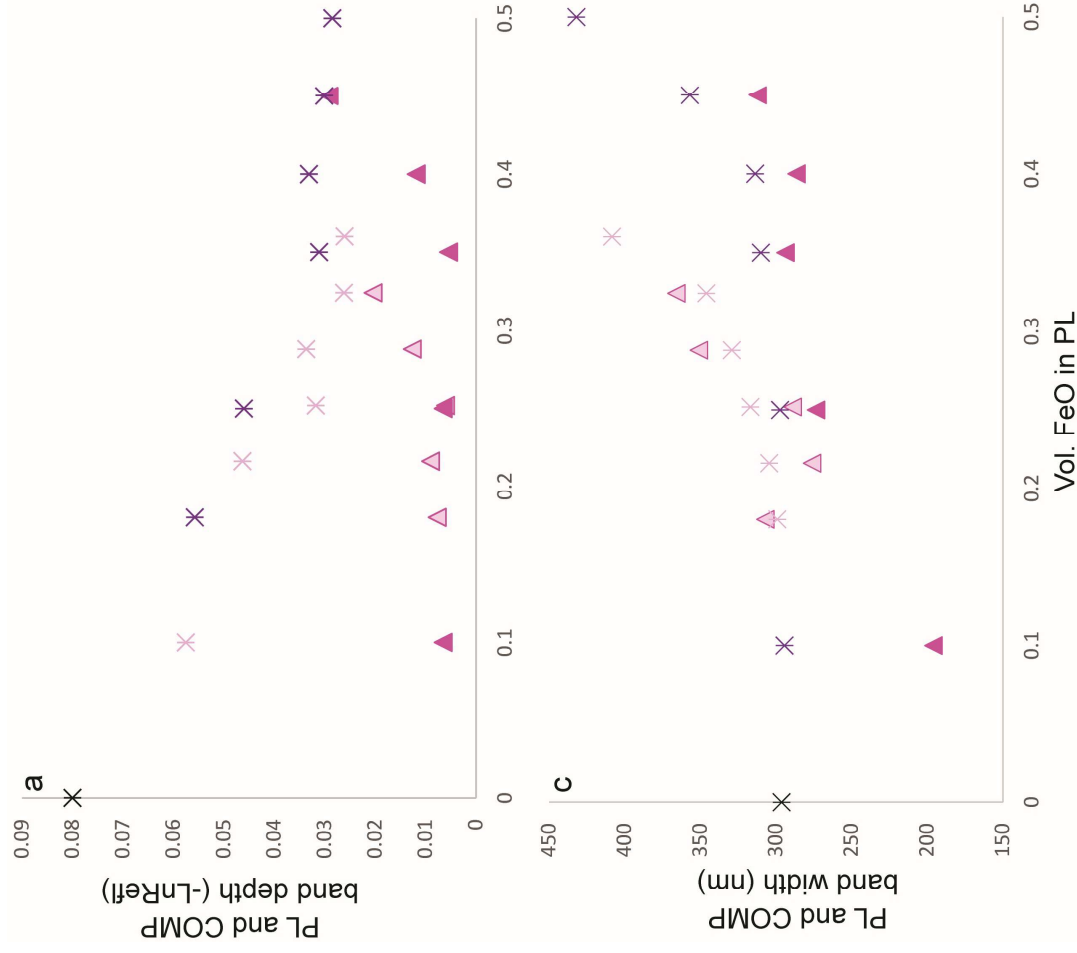
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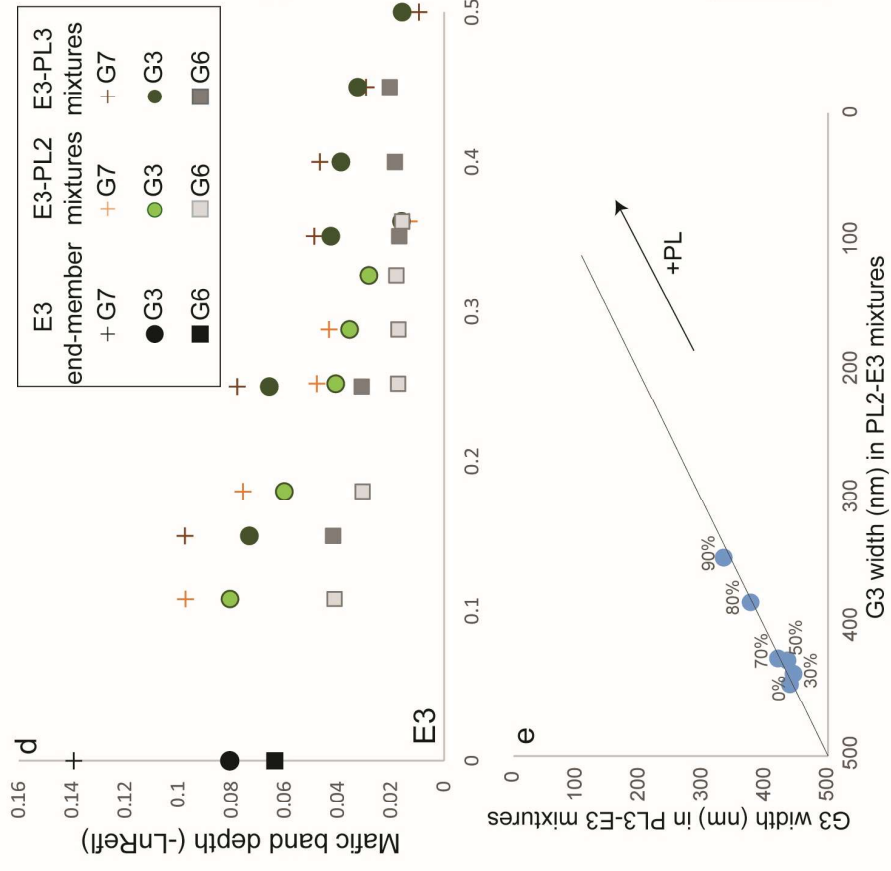
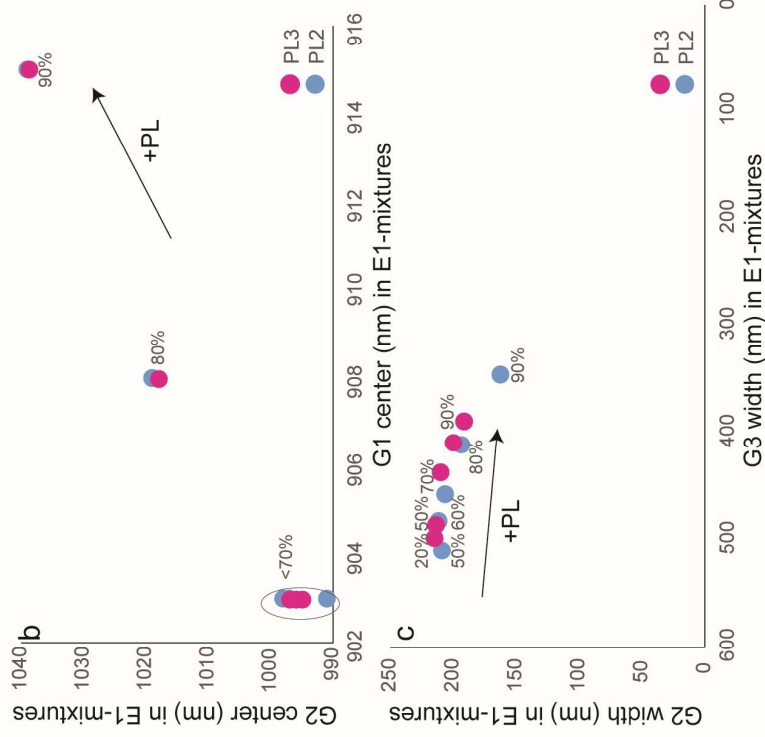
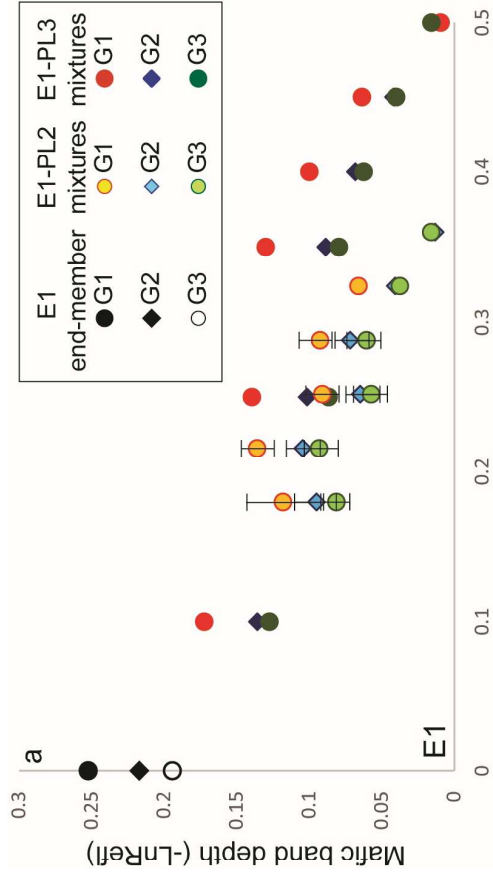


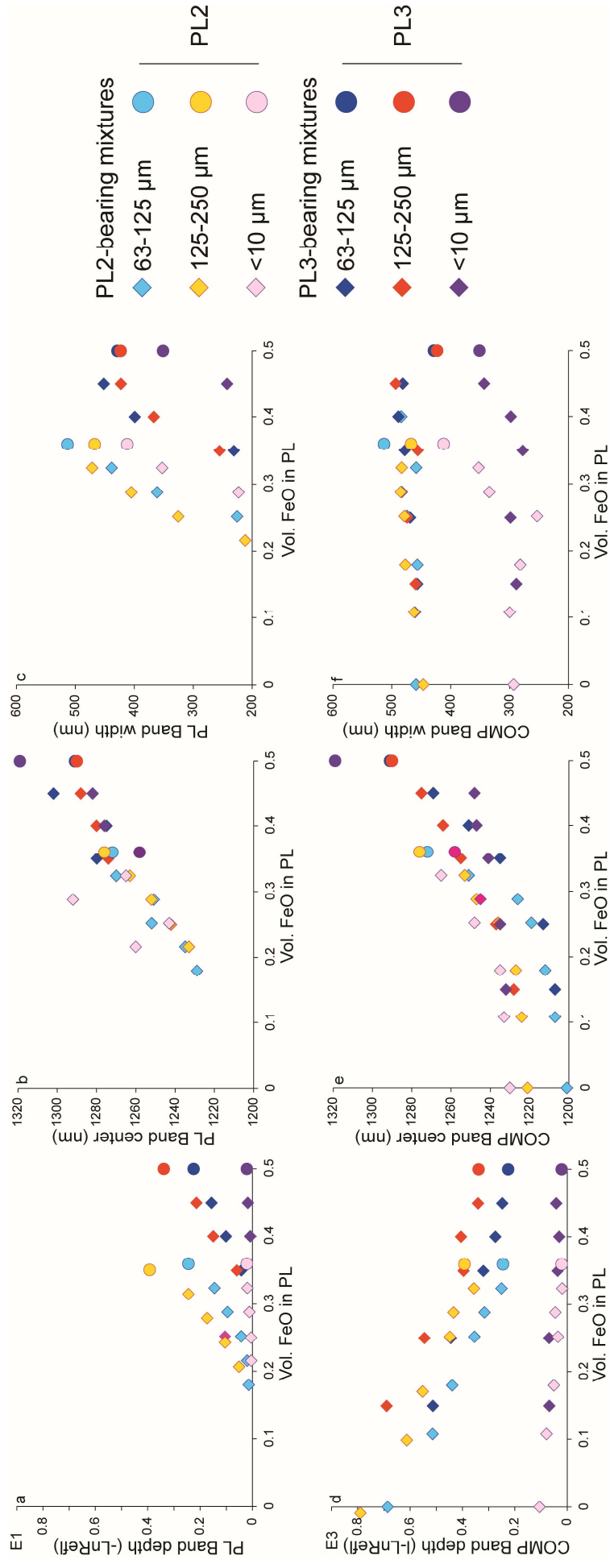


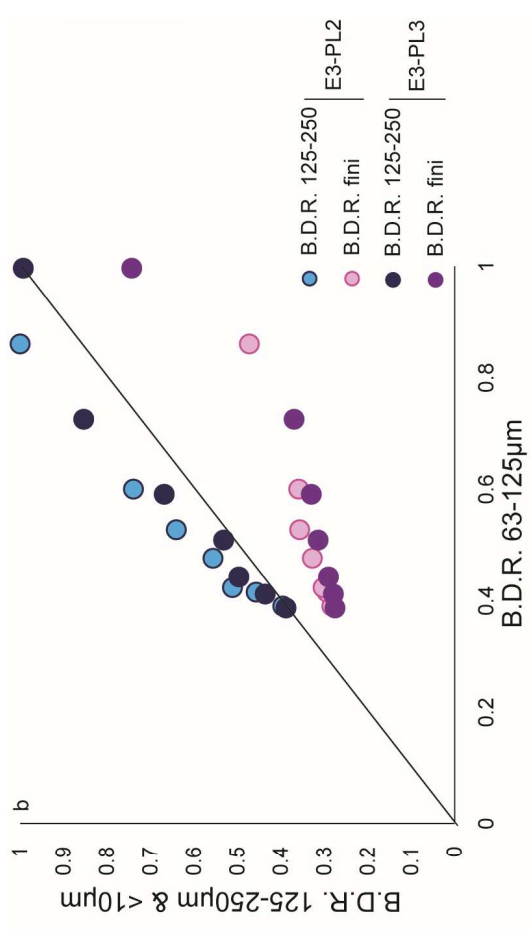
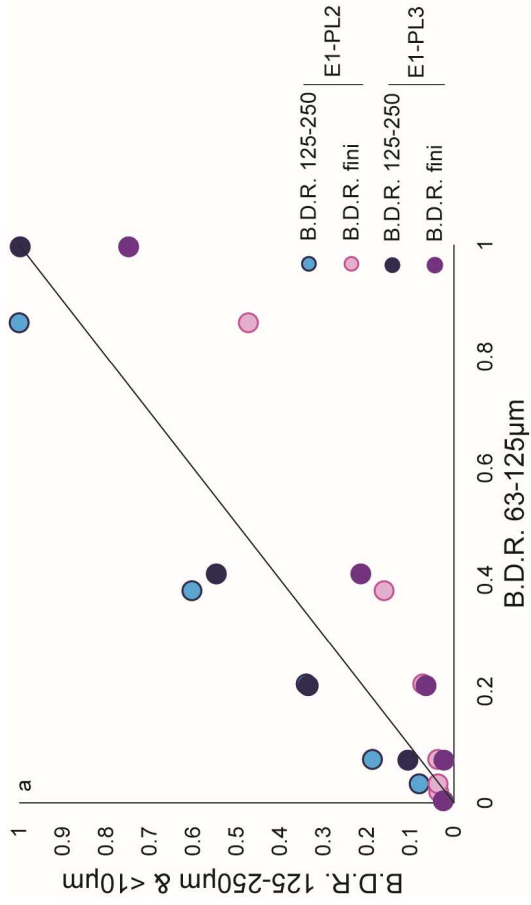






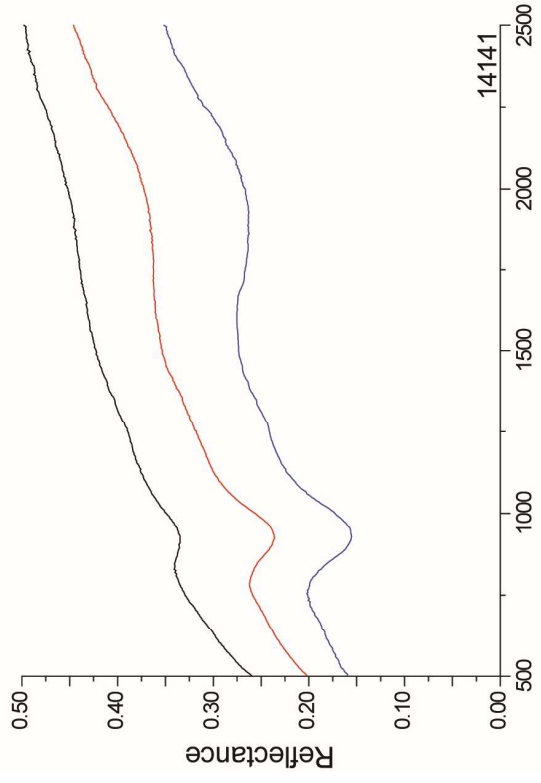




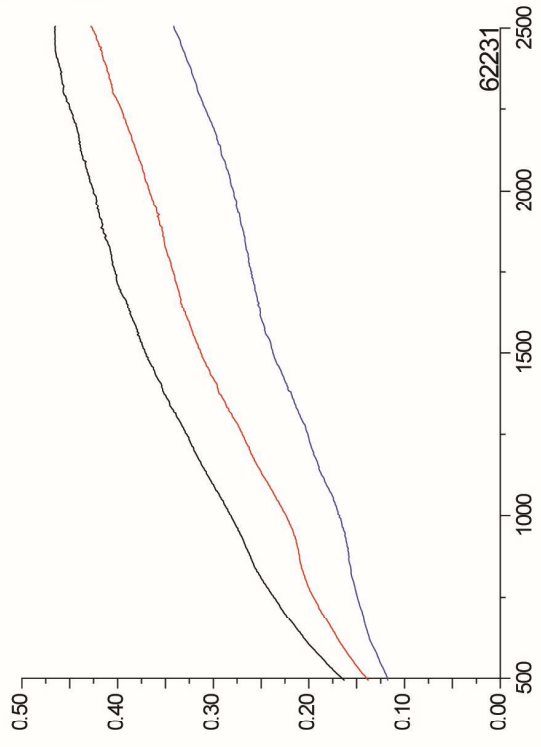


— $<10\mu\text{m}$ — $10\text{--}20\mu\text{m}$ — $20\text{--}45\mu\text{m}$

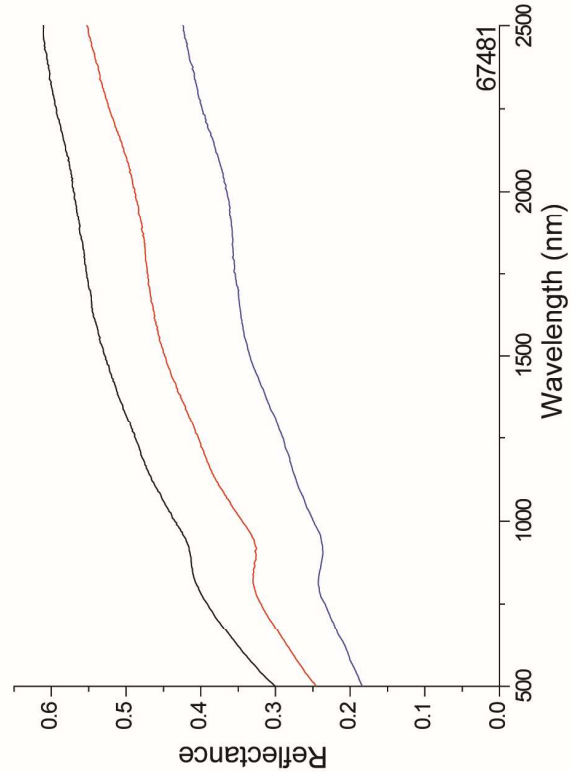
a



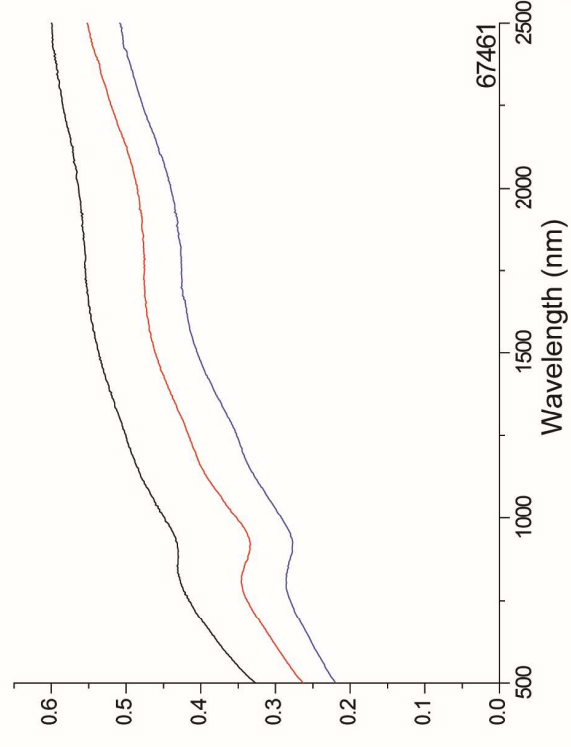
b



c



d



Lithology	Mineral Abundance				Amp.	Minerals removed	New samples	Chemistry	FeO wt. %
	OPX %	CPX %	OL %	PL %	Op %	FeO wt. %			
Ultramafic rock	47	-	19.7	5.1	28.3	FeO wt. %	CPX+OPX+OL(E3)	OPX(28.2%) En ₈₂ -Wo ₄ CPX(3.4%) En ₄₅ -Wo ₄₆ OL(68.4%) Fo ₈₄	9.03 3.8 14.65
Anorthosite	0.8	4.3	-	94.2	-	FeO wt. %	PL(PL3)	PL3 An ₈₀	0.36
Gabbroonorite	22.1	17.3	-	60.6	-	FeO wt. %	CPX+OPX(E1) PL(PL2)	CPX(43.9%) En ₄₅ -Wo ₄₆ PL2 An ₈₀ OPX(56.1%) En ₇₇ -Wo ₂	5.72 0.5 13.45

Tab. 1 Mineral abundances in the starting samples. OPX=orthopyroxene; CPX=clinopyroxene; OL=olivine; PL=plagioclase; Op=opaque minerals; Zois=zoisite. Table also shows the applied amperage (Amp.), the minerals removed and the final samples obtained with the Frantz Isodynamic Magnetic Separator. Chemistry and iron abundance of the new samples are also reported.

Gaussian	Position (nm)	Interpretation
G1	900-950	Fe ²⁺ in OPX M2 site
G2	1000-1100	Fe ²⁺ in CPX M2 site
G3	1850-2000	Fe ²⁺ in OPX M2 site/ chromite
G4	2200-2300	Fe ²⁺ in CPX M2 site/ Al-OH alterations
G5	840	Adjustment Gaussian
G6	850-950	Fe ²⁺ in OL M1 site
G7	1000-1100	Fe ²⁺ in OL M2 site
G8	1200-1250	Fe ²⁺ in OL M1 site
G9	1200-1300	COMP band (Fe ²⁺ in OL M1 site+Fe ²⁺ in PL)
G10	1250-1300	Fe ²⁺ in PL
G11	1600-1800	PL asymmetry/PL alteration

Tab. 2 In the table are reported a list of Gaussians used in the end-member deconvolution, the wavelength position and the mineralogical interpretation.

	63-125 μm				E1 125-250 μm				<10 μm			
	Center	Width	Depth	RMS	Center	Width	Depth	RMS	Center	Width	Depth	RMS
G5	843 $\pm$ 9	110	-0.400		837 $\pm$ 6	113	-0.370		903 $\pm$ 10	154	-0.252	
G1	934 $\pm$ 9	159	-0.929		949 $\pm$ 30	180	-0.895		988 $\pm$ 10	220	-0.217	
G2	1028 $\pm$ 20	199	-0.535		1058 $\pm$ 30	191	-0.371		1894 $\pm$ 10	509	-0.194	
G3	1851 $\pm$ 11	496	-0.766		1813 $\pm$ 15	489	-0.631		2321 $\pm$ 40	138	-0.025	
G4	2311 $\pm$ 50	730	-0.431	0.018	2202 $\pm$ 50	678	-0.586	0.020				0.06
	63-125 μm				E3 125-250 μm				<10 μm			
	Center	Width	Depth	RMS	Center	Width	Depth	RMS	Center	Width	Depth	RMS
G6	861 $\pm$ 7	210	-0.464		842 $\pm$ 2	193	-0.483		881 $\pm$ 10	163	-0.063	
G7	1028 $\pm$ 6	204	-0.562		1009 $\pm$ 2	218	-0.722		1036 $\pm$ 8	241	-0.139	
G8	1221 $\pm$ 3	400	-0.655		1221 $\pm$ 2	408	-0.789		1262 $\pm$ 10	296	-0.08	
G3				0.005				0.003	1963 $\pm$ 2	442	-0.080	0.005

Tab. 3 In table are reported the spectral parameters obtained by MGM deconvolution of mafic end-members, at the 63-125 μm (on the left), 125-250 μm (on the central column) and <10 μm (on the right). Center and width are expressed in nanometers, while depth as the logarithm of the reflectance. RMS represents the Root Mean Square error: the lower the RMS the better the fit. To show the goodness of the fit, for band center, the error calculated at 95% level of confidence was reported.

PL2									
63-125 μm					125-250 μm				
Center	Width	Depth	RMS		Center	Width	Depth	RMS	
G10	1271 $\pm$ 1	-0.2439			1282 $\pm$ 1	481	-0.3919		
G11	1837 $\pm$ 6	-0.04704			1822 $\pm$ 6	441	-0.06778		
G1	909 $\pm$ 2	-0.0395			881 $\pm$ 1	54	-0.0097		
			0.005					0.009	
									0.003
PL3									
63-125 μm					125-250 μm				
Center	Width	Depth	RMS		Center	Width	Depth	RMS	
G10	1298 $\pm$ 2	-0.2356			1295 $\pm$ 2	431	-0.3598		
G11	1734 $\pm$ 12	-0.06649			1711 $\pm$ 13	636	-0.1066		
G1									
			0.005					0.007	
									0.003

Tab. 4 In table are reported the spectral parameters obtained by MGM deconvolution of mafic end-members, at the 63-125 μm (on the left), 125-250 μm (on the central column) and <10 μm (on the right). Center and width are expressed in nanometers, while depth as the logarithm of the reflectance. RMS represents the Root Mean Square error: the lower the RMS the better the fit. To show the goodness of the fit, for band center, the error calculated at 95% level of confidence was reported.

- 1) 5-20% of lunar regolith is very fine grained ($<10\mu\text{m}$)
- 2) Very fine sizes increase the albedo but reduce the spectral contrast
- 3) Very fine plagioclase has featureless spectrum
- 4) Mafic materials are affected by fine sizes