



Publication Year	2016
Acceptance in OA	2021-04-30T10:25:01Z
Title	DETERMINING AGES OF APOGEE GIANTS WITH KNOWN DISTANCES
Authors	Feuillet, Diane K., Bovy, Jo, Holtzman, Jon, GIRARDI, Leo Alberto, MacDonald, Nick, Majewski, Steven R., Nidever, David L.
Publisher's version (DOI)	10.3847/0004-637X/817/1/40
Handle	http://hdl.handle.net/20.500.12386/30949
Journal	THE ASTROPHYSICAL JOURNAL
Volume	817



DETERMINING AGES OF APOGEE GIANTS WITH KNOWN DISTANCES

DIANE K. FEUILLET¹, JO BOVY², JON HOLTZMAN¹, LÉO GIRARDI^{3,4}, NICK MACDONALD⁵,
STEVEN R. MAJEWSKI⁶, AND DAVID L. NIDEVER^{7,8}

¹ Department of Astronomy, New Mexico State University, Las Cruces, NM 88003, USA; feuilldk@nmsu.edu

² Department of Astronomy and Astrophysics, University of Toronto, 50 St. George Street, Toronto, ON M5S 3H4, Canada

³ Osservatorio Astronomico di Padova—INAF, Vicolo dell'Osservatorio 5, I-35122 Padova, Italy

⁴ Laboratório Interinstitucional de e-Astronomia—LIneA, Rua Gal. José Cristino 77, Rio de Janeiro, RJ-20921-400, Brazil

⁵ Department of Astronomy, University of Washington, Seattle, WA 98195, USA

⁶ Department of Astronomy, University of Virginia, Charlottesville, VA 22904, USA

⁷ Large Synoptic Survey Telescope, 950 North Cherry Avenue, Tucson, AZ 85719, USA

⁸ Steward Observatory, 933 North Cherry Ave, Tucson, AZ 85719, USA

Received 2015 October 23; accepted 2015 December 2; published 2016 January 20

ABSTRACT

We present a sample of 705 local giant stars observed using the New Mexico State University 1 m telescope with the Sloan Digital Sky Survey-III/Apache Point Observatory Galactic Evolution Experiment (APOGEE) spectrograph, for which we estimate stellar ages and the local star formation history (SFH). The high-resolution ($R \sim 22,500$), near infrared ($1.51\text{--}1.7\ \mu\text{m}$) APOGEE spectra provide measurements of stellar atmospheric parameters (temperature, surface gravity, $[M/H]$, and $[\alpha/M]$). Due to the smaller uncertainties in surface gravity possible with high-resolution spectra and accurate *Hipparcos* distance measurements, we are able to calculate the stellar masses to within 30%. For giants, the relatively rapid evolution up the red giant branch allows the age to be constrained by the mass. We examine methods of estimating age using both the mass–age relation directly and a Bayesian isochrone matching of measured parameters, assuming a constant SFH. To improve the SFH prior, we use a hierarchical modeling approach to constrain the parameters of the model SFH using the age probability distribution functions of the data. The results of an α -dependent Gaussian SFH model show a clear age– $[\alpha/M]$ relation at all ages. Using this SFH model as the prior for an empirical Bayesian analysis, we determine ages for individual stars. The resulting age–metallicity relation is flat, with a slight decrease in $[M/H]$ at the oldest ages and a ~ 0.5 dex spread in metallicity across most ages. For stars with ages $\lesssim 1$ Gyr we find a smaller spread, consistent with radial migration having a smaller effect on these young stars than on the older stars.

Key words: Galaxy: disk – Galaxy: evolution – stars: abundances – stars: fundamental parameters

Supporting material: machine-readable table

1. INTRODUCTION

As the only galaxy for which detailed measurements of large numbers of individual stars are currently possible, the Milky Way serves as a crucial testing ground for exploring galactic evolution through detailed stellar observations. The chemical composition of stars reflects the composition of the interstellar medium from which they formed and contains information about the galactic gas history, tracing the evolution of stellar populations, the merger history, and the star formation history (SFH). Each stellar population in a galaxy contains a snapshot of the gas at the time of its birth. In a closed system the overall metallicity of the gas increases with time as metals are created in stars and recycled back to form new stars. Galaxies are not closed systems, but have gas inflow and outflow, and are not enriched homogeneously. Nor is the interstellar medium uniform across the Galactic disk; enriched material is pulled toward the central regions, while pristine gas accretes onto the outer regions. Much work has been done to include these influences in model of Galactic evolution (Matteucci & Francois 1989; Chiappini et al. 1997, 2001; Chang et al. 1999; Schönrich & Binney 2009; Minchev et al. 2013). Radial mixing of stars creates an additional complication, moving stars from their birth radii and potentially diluting any radial metallicity gradients (Wielen et al. 1996; Sellwood & Binney 2002; Roškar et al. 2008; Loebman et al. 2011; Hayden et al. 2015). Detailed chemical abundance measurements for large

samples of stars can help identify the different stellar populations of our Galaxy and enable an understanding of the Galactic enrichment history.

Abundance measurements for large samples of stars throughout the Galaxy allow us to explore the chemical evolution of its stellar populations (Lee et al. 2011; Boeche et al. 2013; Hayden et al. 2014, 2015; Nidever et al. 2014). The evolution of stellar populations is often characterized by the overall metallicity ($[Fe/H]$); however, the rate of metal enrichment depends on the local star formation rate. The various nucleosynthetic processes by which metals are created also enrich families of elements on different timescales (Tinsley 1979; Matteucci & Greggio 1986). This makes it difficult to place an absolute timescale on Galactic evolution. In addition, enrichment may not be uniform across the Galactic disk, complicating direct comparisons of the chemical history of the inner and outer disks using metallicity alone. To compare the abundance evolution of all Galactic stellar populations directly, chemical enrichment levels need to be tied to absolute timescales. Therefore, we need to measure absolute ages for large samples of stars.

Soderblom (2010) discusses the difficulties of age determination methods for field stars, showing that stellar ages cannot be directly measured but must be estimated from models or empirical methods. Although ages of subgiants can be found to within 1 Gyr through isochrone matching (see, e.g., Haywood et al. 2013; Bensby et al. 2014), the atmospheric parameters of

dwarfs and giants (temperature, surface gravity, and metallicity) are fairly degenerate with age. In this paper, we present a method for determining absolute ages of field red giant stars with accurately measured distances and high-resolution spectroscopic atmospheric parameters. This method takes advantage of the short duration of the giant branch stage to apply a mass–age relation to the giant branch and estimate ages.

Recently, spectroscopic surveys have made great progress in obtaining spectra of large samples of stars across the Galaxy. SEGUE (Yanny et al. 2009; Lee et al. 2011) and RAVE (Kordopatis et al. 2013) have provided low-resolution spectra for over 400,000 stars. However, higher resolution spectra are needed to measure atmospheric parameters and multi-element abundances of large samples of stars accurately. The *Gaia*-ESO Survey (GES, Gilmore et al. 2012; Randich et al. 2013) is a high-resolution survey on the Very Large Telescope using the GIRAFFE and UVES spectrographs to measure abundances of up to 24 individual elements of 100,000 stars. The Galactic Archaeology with HERMES survey (Freeman 2012; Zucker et al. 2012) is a high-resolution spectroscopic survey on the 4 m Anglo-Australian Telescope and will provide abundances of over 20 elements for 10^6 stars in the *Gaia* footprint.

Although such surveys are observing stars to even greater distances from the Sun, by working at optical wavelengths, they are still extremely limited by the dust in the plane of the Galactic disk. The Sloan Digital Sky Survey (SDSS) III Apache Point Observatory Galactic Evolution Experiment (APOGEE, Eisenstein et al. 2011; Majewski et al. 2015) is a high-resolution, near-infrared (NIR), spectroscopic survey of red giant stars in the Milky Way. With the goal of studying the evolution of the Milky Way, APOGEE samples all of the stellar components in the Galaxy with a particular focus on the disk and bulge. The APOGEE spectrograph is uniquely designed to study these regions of the Galaxy because the obscuring effects of dust in the plane of the disk are about six times lower in magnitude in the NIR than in the optical. The SDSS Data Release 12 (DR12, Alam et al. 2015) contains 146,000 APOGEE stars (Holtzman et al. 2015) and the SDSS IV extension, APOGEE2, will expand the sample to over 500,000 stars observed from both the northern and southern hemispheres, allowing for complete Galactic coverage. In addition to the main APOGEE survey on the 2.5 m, the APOGEE instrument was also connected to the New Mexico State University (NMSU) 1 m telescope to observe a sample of bright nearby red giant stars.

Section 2 describes the observations and the sample, with a brief description of the connection of the APOGEE instrument to the NMSU 1 m telescope. A description of the analysis of the spectra to determine atmospheric parameters, elemental abundances, and ages is presented in Sections 3 and 4. In Section 5 we discuss our results, finding a clear relation between $[\alpha/M]$ and age, lack of a strong age–metallicity relation, and an increasing velocity dispersion with age. We summarize our conclusions in Section 6.

2. OBSERVATIONS AND SAMPLE SELECTION

2.1. 1 m+APOGEE

The APOGEE instrument is a high-resolution ($R \sim 22,500$), fiber fed, near infrared (1.51–1.7 μm) spectrograph (J. Wilson 2015, in preparation) and is usually used with plug plates that

feed 300 spectra from the SDSS 2.5 m telescope (Gunn et al. 2006). To observe single bright and nearby objects, such as well studied abundance calibrators, using the multi-object observing scheme of the Sloan 2.5 m telescope is an inefficient use of that valuable telescope time. Motivated by the need to access important bright abundance standard stars and Hipparcos stars, 10 fibers were installed to connect the APOGEE instrument to the NMSU 1 m telescope at the Apache Point Observatory (APO) about 50 yards away. The new fibers run underground from the APOGEE instrument port at the base of the 2.5 m to the NA2 port of the 1 m, where they are positioned at the focal plane of the NA2 port in a fixed linear configuration. This configuration allows for one science target fiber and nine sky fibers per observation.

An advantage of this new observing capability, hereafter 1 m +APOGEE, is the extended use of the APOGEE instrument during dark time, when other SDSS-III optical surveys are using the 2.5 m telescope, with minimal additional operational cost. The NMSU 1 m telescope is operated robotically during science observations as described by Holtzman et al. (2010), dramatically reducing the human-hours needed to complete these observations. The 1 m+APOGEE can observe 20–40 stars per night, depending on the target magnitude. Targets observed with the 1 m+APOGEE are typically limited to $H < 8$ because fainter targets require exposure times over an hour and are better suited for 2.5 m observations, and to $H > 0$ because such bright targets saturate the 1 m guide camera as well as the APOGEE instrument.

APOGEE spectra observed with the 1 m telescope have been found to be comparable to those taken with the 2.5 m telescope, and have been used to help calibrate the APOGEE survey data. A detailed description of these comparisons and calibration can be found in Holtzman et al. (2015).

2.2. Hipparcos Sample

As mentioned above, stellar age is a difficult parameter to determine, especially for red giant stars, which have similar atmospheric parameters across many ages. However, the age of a red giant star is determined by its mass. The mass of a star can be calculated from its luminosity, effective temperature, and surface gravity (see Section 4.1). The APOGEE instrument allows for effective temperature and surface gravity measurements to within 92 K and 0.11 dex, respectively, for spectra with signal-to-noise ratio ≈ 100 (Holtzman et al. 2015). Luminosities can be calculated for nearby stars with trigonometric parallax distances, such as those measured by the *Hipparcos* mission. Thus, stellar ages could be estimated for a sample of nearby red giant stars observed with APOGEE for which distance measurements are available. The 1 m+APOGEE capability is well suited to observe such a sample of bright nearby red giants, and these can also be directly compared to data from APOGEE survey observations of more distant, fainter stars.

Observations of 750 bright red giant stars were made between 2012 October and 2014 April using the 1 m +APOGEE. The sample was selected from the *Hipparcos* Catalog (van Leeuwen 2007) to have parallaxes measured to within 10%. A color cut of $(J - K) > 0.5$ and an absolute 2MASS H magnitude cut of $M_H < 2$ were used to select giants. Over 3500 targets meeting these criteria had a declination above -20 , making them visible from APO. Observations were made of targets randomly selected each night from this final

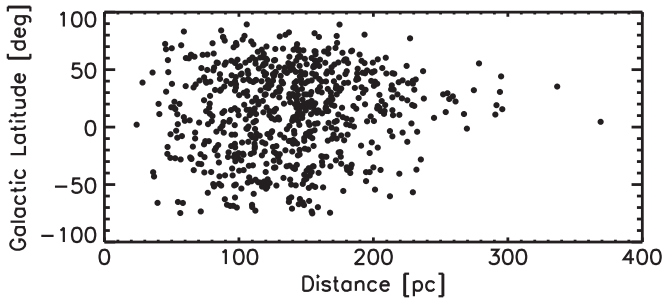


Figure 1. Distribution of the *Hipparcos* 1 m + APOGEE red giant subsample used in this paper in distance and Galactic latitude.

list. The observed sample is within 400 pc of the Sun covering all Galactic latitudes; it is displayed in Figure 1. These data are included in the SDSS DR12 (Alam et al. 2015).

3. STELLAR ATMOSPHERIC PARAMETERS

The spectra taken with the 1 m+APOGEE are reduced and analyzed with the existing software used by the main APOGEE survey (Nidever et al. 2015). Similar to 2.5 m observations, the 1 m+APOGEE observations have sky fibers positioned close to the science object on the sky for subtraction of the sky emission features. However, a different method was needed to treat the telluric absorption because no hot, relatively featureless star could be observed simultaneously, as is done for the multi-object observations. Instead, an atmospheric model spectrum made using the LBLRTM⁹ model atmosphere code (Clough et al. 2005) is combined with a stellar spectral template that best fits the target and the former is adjusted in strength to fit the telluric features in the observed target spectrum. This process is iterated to produce the telluric absorption spectrum that best matches the observed spectrum.

Stellar parameters and abundances were derived from the spectra using the APOGEE Stellar Parameters and Chemical Abundances Pipeline (ASPCAP, García Pérez et al. 2015), which finds the best matching synthetic spectra to the observed spectra through χ^2 minimization. For DR12, ASPCAP uses a six-dimensional library of synthetic spectra (Zamora et al. 2015) to simultaneously fit for effective temperature (T_{eff}), surface gravity ($\log g$), overall metallicity ($[M/H]$), and relative abundances of α -elements ($[\alpha/M]$), carbon ($[C/M]$), and nitrogen ($[N/M]$). Molecular features from OH, CO, and CN have a large effect on the NIR spectrum; therefore α , C, and N abundances must be included in the fit to atmospheric parameters. The microturbulence value is set using a linear relation to surface gravity derived from an analysis of a calibration subsample. The DR12 version of ASPCAP also includes a constant macroturbulence value of 6 km s^{-1} . The DR12 grid of synthetic spectra covers $3000 \text{ K} < T_{\text{eff}} < 8000 \text{ K}$ and $0 < \log g < 5$. We refer the reader to Holtzman et al. (2015) for characterization and calibration of the parameters.

Holtzman et al. (2015) use several techniques to both internally and externally calibrate the raw ASPCAP results into agreement with the literature. We use these calibrated ASPCAP values in our analysis. The empirical uncertainty in T_{eff} is 91.5 K as determined through comparison of raw ASPCAP temperature values to photometric temperatures derived using

the method described by González Hernández & Bonifacio (2009). The empirical uncertainty in $\log g$ is 0.11 dex as determined through comparisons of targets in the *Kepler* field to asteroseismic data. Using internal scatter in $[M/H]$ of cluster stars, the uncertainty in $[M/H]$ is 0.05 dex. The ASPCAP $[M/H]$ is externally calibrated using literature $[Fe/H]$ for these clusters. ASPCAP does determine an $[Fe/H]$ abundance separately from $[M/H]$ in the determination of the 15 elemental abundances. The differences between raw ASPCAP $[M/H]$ and $[Fe/H]$ abundances is small. Internal calibrations are applied to the $[Fe/H]$ parameter using the same cluster method used for the $[M/H]$ parameter. However, as literature cluster abundances are not available for all 15 elements, none of them are externally calibrated. In addition the $[Fe/H]$ abundance is often used to convert $[X/H]$ abundance into $[X/Fe]$ abundances. Using an externally calibrated $[Fe/H]$ abundance with uncalibrated $[X/H]$ abundances could introduce systemic errors into elemental abundance ratios. For the purposes of this paper, the calibrated $[M/H]$ parameter is comparable to the PARSEC isochrone $[Fe/H]$ parameter (Bressan et al. 2012). Calibrations to raw ASPCAP parameters are only applied to giant stars. Although the sample presented in this paper was selected to contain giants based on color and magnitude, 39 of our stars are outside the ASPCAP calibration range and two stars have a calibrated $\log g > 3.8$ and were therefore not included in our analysis. There are also four stars left out of our analysis with an ASPCAP T_{eff} much hotter than the isochrones points with $(J - K) > 0.5$. Our final sample contains 705 stars.

As mentioned above, calibrations have been applied to the raw ASPCAP $\log g$ based on comparisons to targets with *Kepler* asteroseismic data. The comparisons to asteroseismic surface gravities show a systematic offset in the ASPCAP $\log g$ between red giant branch (RGB) and red clump (RC) stars. The raw ASPCAP $\log g$ for RC stars shows a larger disagreement with asteroseismic $\log g$ than the RGB stars. The ASPCAP $\log g$ calibration is fit to the RGB locus because it is possible to identify the RC stars based on T_{eff} and $\log g$ and apply an additional calibration. This additional calibration of RC stars is not done in DR12; however, the APOGEE RC catalog (Bovy et al. 2014) does provide identification and $\log g$ correction of RC stars in the DR12 sample.

In this paper we identify RC stars following the methods of Bovy et al. (2014). We apply an average correction to the raw ASPCAP $\log g$ derived from comparisons to high quality asteroseismic data, as described in Section 5.3 of Alam et al. (2015), and then identify RC stars using this average corrected $\log g$. The selection criteria for RC identification is taken from Equations (2), (3) (8), and (9) of Bovy et al. (2014). Because RC stars separate from the RGB more in absolute magnitude than in surface gravity, we include an additional selection based on absolute Tycho V-band magnitude (M_{V_T}) as a function of T_{eff} :

$$\frac{-T_{\text{eff}}}{600} + 8.25 < M_{V_T} < 2.0.$$

This cut was chosen to remove stars brighter than the RC overdensity in our sample in M_{V_T} versus T_{eff} space. This absolute magnitude selection is in agreement with the location of the RC evolutionary stage of the PARSEC isochrones. Using this criterion we find 324 RC stars in this sample. Once the RC stars were selected, the following $\log g$ correction, derived

⁹ <http://rtweb.aer.com/lblrtm.html>

Table 1
Parameters of the Local Sample of Giants

2MASS ID	HIP ID	T_{eff} (K)	$\log g$	[M/H]	$[\alpha/\text{M}]$	M_{V_T} (mag)	Mass ($M_{\odot}$)	τ_{mass} log(year)	τ_{Bayes} log(year)	τ_{Emp} log(year)
2M00014289+2415111	136	4507	2.15	-0.28	0.08	0.44	1.40	9.46	9.67	9.59
2M00044199+6709592	379	4835	2.55	0.09	0.04	0.97	1.35	9.61	9.40	9.32
2M00045599+2638556	399	4277	1.87	0.05	0.02	-0.10	1.81	9.23	9.52	9.16
2M00050116+2740290	410	5018	2.75	-0.06	0.01	0.45	2.73	8.72	8.99	8.98
2M00050380-0030109	417	4836	2.60	0.30	0.02	0.71	1.92	9.21	9.05	9.00
2M00052013-0542275	443	4736	2.60	-0.12	0.05	1.76	0.83	10.17	9.89	9.68
2M00052642-1425198	454	4945	2.68	0.04	0.03	1.55	0.91	10.08	9.19	9.17
2M00054195+1323465	476	5085	2.83	-0.02	0.01	0.36	3.30	8.51	8.85	8.84
2M00062019+4053555	525	4643	2.49	-0.11	0.11	1.68	0.79	10.17	9.90	9.58
2M00063555+2833124	540	5079	2.83	0.24	0.01	0.75	2.28	8.98	9.01	9.01

Note. τ_{mass} is the age derived in Section 4.2 using the mass–age relation, τ_{Bayes} is the age derived in Section 4.3 using a Bayesian isochrone matching, τ_{Emp} is the age derived in Section 4.6 using an Empirical Bayesian analysis based on the hierarchically modeled SFH. Table 1 is published in its entirety in the electronic edition of The Astrophysical Journal. A portion is shown here for guidance regarding its form and content. The electronic version includes uncertainties on all quantities given in the table.

(This table is available in its entirety in machine-readable form.)

based on comparisons to asteroseismic measurements, was applied to the raw ASPCAP $\log g$ of just the RC stars:

$$\log g_{\text{RC}} = \begin{cases} \log g_{\text{raw}} - 0.255 & \log g_{\text{raw}} < 1.0 \\ 0.958 \log g_{\text{raw}} - 0.213 & 1.0 < \log g_{\text{raw}} < 3.8. \\ \log g - 0.3726 & 3.8 < \log g \end{cases}$$

The DR12 corrected ASPCAP $\log g$ value is used for stars not identified as RC stars. Both RC and RGB stars are included in our analysis and are collectively referred to as giants. The atmospheric values used in this analysis for all stars are given in Table 1.

Figure 2 shows the Hertzsprung–Russell (HR) diagram of the sample, where the color represents metallicity. Our sample covers the full RGB, and includes 324 RC stars, as identified using the criteria above. The RC stars are indicated by triangles. We note that our metallicity range is $-1.0 < [\text{M}/\text{H}] < 0.6$ with a peak just above solar, shown in Figure 3, which is expected for the solar neighborhood (e.g., Adibekyan et al. 2012; Hayden et al. 2015).

4. MASS AND AGE

4.1. Mass

While the ages of main sequence turn-off (MSTO) stars and subgiants can be accurately determined through comparisons of measured parameters (e.g., temperature, surface gravity, luminosity) to model isochrones (e.g., Jørgensen & Lindegren 2005; Holmberg et al. 2009; Haywood et al. 2013), the measured properties of stars in more advanced stages of evolution, such as RGB and RC stars, are degenerate for stars of different ages and metallicities. Therefore, finding ages of giants from isochrone matching using these parameters is not as precise as it is for subgiants or MSTO stars. The red giant stage is brief compared to the main sequence lifetime of a star and the latter is determined primarily by the initial mass and metallicity of the star. Thus, the age of a RGB star can be constrained if the mass is known. We use stellar atmospheric parameters derived from high-resolution spectroscopy with accurately measured distances to calculate masses and estimate ages for local giant stars.

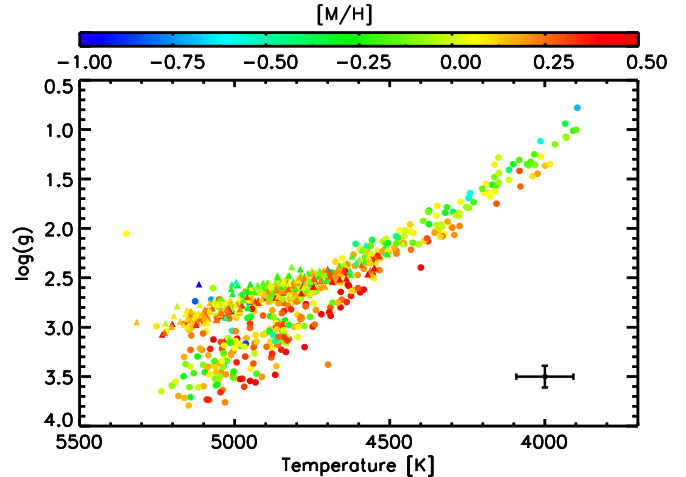


Figure 2. HR diagram of this sample. The colors represent metallicity ($[\text{M}/\text{H}]$). The RGB stars are plotted as points and the RC stars, as identified in Section 3, are plotted as triangles. The RC stars lie above the RGB sequence with lower $\log g$ at a given T_{eff} for $5300 < T_{\text{eff}} < 4500$. All stars shown are included in the age analysis.

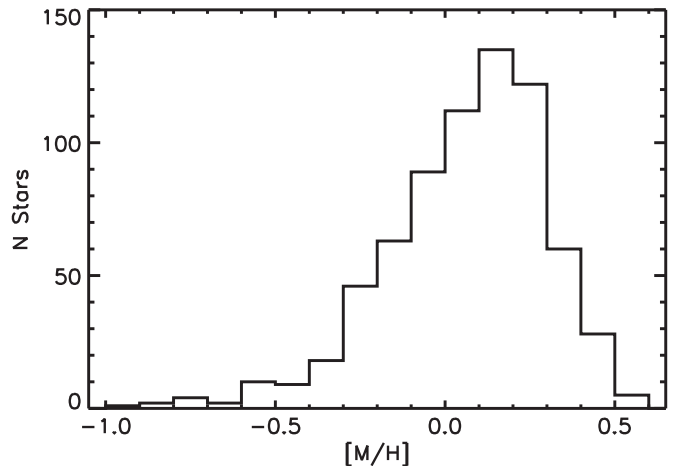


Figure 3. Metallicity distribution of our sample binned by 0.1 dex. The metallicity distribution of this sample agrees with literature results for the solar neighborhood, peaking just above solar metallicity with very few metal poor stars.

The mass of a star can be calculated from the photometrically and spectroscopically measured parameters using the following well-known relations:

$$L = 4\pi R^2 \sigma T_{\text{eff}}^4 \quad (1)$$

$$g = \frac{GM}{R^2} \quad (2)$$

where σ is the Stefan Boltzman constant, and G is the gravitational constant. For this sample, the luminosities were calculated from apparent Tycho V -band magnitudes (V_T), *Hipparcos* distances (van Leeuwen 2007), and model-based bolometric corrections (BCs, description below). We use the Green et al. (2015) 3D dust map to get extinction estimates for our sample, but find that most of our sample is much closer than the minimum reliable distance recommended by Green et al. (2015). Including the extinction based on the minimum reliable distance results in <0.1 dex age difference for most of the sample, and does not change any of our conclusions. Since the minimum reliable extinction distance is much farther than our sample, and those extinctions have a negligible effect on our conclusions, we do not include extinctions for any stars in our analysis. The BCs were determined using a 6th order polynomial fit to the PARSEC isochrone BCs as a function of temperature. The high order of the fit was chosen to minimize the residuals across a large temperature range, resulting in a BC uncertainty of 0.03 mag. The solar bolometric magnitude and luminosity were taken from Bahcall et al. (1995) as $M_{\text{Bol},\odot} = 4.77$ mag and $L_{\odot} = 3.844 \times 10^{33}$ erg s $^{-1}$. These stellar luminosities were applied to Equation (1) along with the spectroscopic temperatures to calculate radii. The mass of each star was then calculated from the radius and the spectroscopic surface gravity using Equation (2). The derived masses are given in Table 1.

To calculate the mass error, we adopt the APOGEE reported errors for the spectroscopically derived parameters. The V_T magnitudes were taken from Anderson & Francis (2012) and have a typical uncertainty of 0.05 mag. The sample was selected to have distance errors $<10\%$ to minimize the error contribution from the distance measurement. Due to the small errors in photometry and distance, the main source of error in the mass calculation comes from the ASPCAP uncertainty in surface gravity. This leads to an error in mass of 0.11 dex, about 30%.

4.2. Mass–Age Relation

We first derive ages from a simple RGB mass–age relation. The PARSEC isochrones were used to find a relation between $\log(\text{mass})$ and $\log(\text{age})$ for all evolved stars. It should be noted that we work in $\log_{10}(\text{age})$, denoted as τ , because the isochrones are given in a $\log_{10}(\text{age})$ grid. As the observed sample was selected for giants using a simple dereddened color cut, contributions from more advanced stages of evolution (e.g., RC and asymptotic giant branch (AGB)) are likely. As found in Section 3, 324 stars were identified as RC stars. The ages of such stars can also be constrained by their mass. We compare our derived mass to the current mass of the PARSEC isochrone points; therefore, age estimates for RC stars could have some additional uncertainty due to the mass loss prescription used in the isochrones. Due to the inclusion of

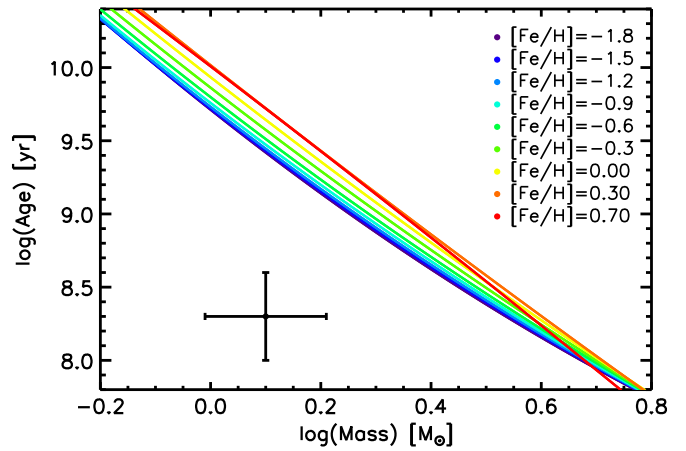


Figure 4. Each line represents the isochrone derived mass–age relation for a representative sample of metallicities. The color represents metallicity as indicated. The mass–age relation was fit to the PARSEC isochrone points of a single metallicity with a quadratic function in logarithmic space. Representative error bars are shown.

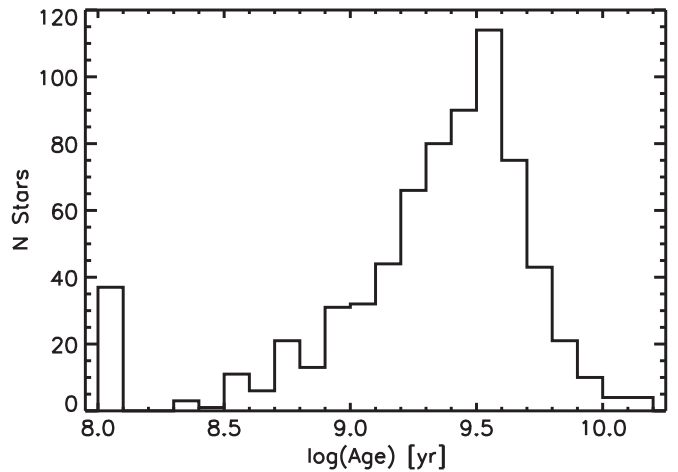


Figure 5. Distribution of ages found in our sample using the mass–age relation.

RC stars, the mass–age relation was determined from all isochrone points with $\log g < 3.8$ instead of selecting only the RGB points from the PARSEC “stage” parameter. The relation is also metallicity dependent; therefore, it was fit for single metallicity populations with $-2.2 < [\text{Fe}/\text{H}] < 0.6$ in steps of 0.1 dex. The mass–age relation for a single metallicity was derived by applying a quadratic fit to the isochrone points with the given metallicity. The derived relations are shown in Figure 4 for a sample of metallicities as indicated. The isochrones are given in $\log(\text{age})$ from 9.0 to 10.1 in steps of 0.05 dex.

Given the spectroscopic metallicity of each star, the corresponding mass–age relation was applied to determine the age from the mass. As the isochrones cover an age range of 100 Myr to 12.6 Gyr, we assign an age of 100 Myr to stars with a mass greater than $5 M_{\odot}$. We also assign an age of 14 Gyr to stars less massive than $0.8 M_{\odot}$, limited by the age of the universe. The distribution of ages determined through the simple mass–age relation is shown in Figure 5. The age values derived for each star are given in Table 1.

The uncertainty in the age estimate is directly dependent on the error in the mass calculation. Using the derived mass–age

relation, this results in a 0.38 dex uncertainty in $\log(\text{age})$ for a $\log g$ uncertainty of 0.11 dex, which is a factor of two in age. The APOGEE errors in $[\text{M}/\text{H}]$ are smaller than the isochrone $[\text{Fe}/\text{H}]$ grid spacing and the dependence of the mass–age relation on $[\text{Fe}/\text{H}]$ is weak compared to the isochrone grid spacing; therefore, the $[\text{M}/\text{H}]$ errors have a negligible effect on the age uncertainty.

Although the spread in the mass–age relation for all evolved stars is mainly due to the metallicity, there is also a small spread in the possible isochrone age for a single mass and metallicity. This spread is due to the finite lifetimes of each evolutionary stage and the contamination from non-RGB isochrone points. The surface gravity, luminosity, and temperature of a single mass red giant star changes as it ascends the RGB. In addition, these parameters change as the star evolves through more advanced stages of evolution. The RGB lifetime is between a few to 10% of the total stellar lifetime; therefore, some age precision can be gained if the star’s exact position along the RGB is known. Using the exact HR Diagram position also allows stars in more advanced stages of evolution, such as RC stars, to be identified and the ages to be more precisely determined based on their observed parameters. To get better age resolution, we test probabilistic isochrone matching techniques that use the individual measured parameters of each star instead of the single combined parameter of mass (see Sections 4.3 and 4.6).

4.3. Isochrone Matching Age

If the atmospheric parameters are measured precisely, isochrone matching can be used to get some estimate of the age for each star, although not as precisely as for subgiants. As discussed in Section 4.1, if the mass of a RGB star is known then the age can be constrained. However, in this section we use the measured parameters needed to derive a mass and compare them directly to isochrones. We can apply constraints to more properties of the models if the luminosity, temperature, and surface gravity are explicitly used to find the best matching isochrone points rather than folded into the mass value. Typically, isochrone matching uses color or temperature, and luminosity to compare to observations. The precise surface gravity measured from the high-resolution APOGEE spectra allows the mass to indirectly constrain the isochrone matching. As can be seen in Figure 6, RGB and RC isochrones of different ages have a larger separation in absolute magnitude space than in surface gravity space. While surface gravity measurements provide the mass constraint, a measured distance is important in determining ages of red giant stars. For this sample we use both accurate absolute magnitudes from the *Hipparcos* data and the surface gravity from APOGEE high-resolution spectra to match to isochrones.

To find an age through isochrone matching, we evaluate the age probability density function (PDF) calculated using Bayesian estimation. Following the method described by Jørgensen & Lindegren (2005) the age PDF is given by

$$f(\tau, \zeta, m) \propto f_0(\tau, \zeta, m)L(\tau, \zeta, m)$$

where f_0 is the prior density function and L is the likelihood function. Here, both functions depend on age, τ , metallicity, ζ , and mass, m . Integrating over mass gives

$$f(\tau, \zeta) \propto \int f_0(\tau, \zeta, m)L(\tau, \zeta, m)S(\tau, \zeta, m) dm \quad (3)$$

where $S(\tau, \zeta, m)$ is the selection function we use to account for the fact that our sample contains only evolved stars. For giant stars the mass and age are non-separable, as seen from the mass–age relation in Section 4.2. We define the selection function as $S(\tau, \zeta, m) = 1$ for $\log g < 3.8$ and $(J - K)_0 > 0.5$, and 0 elsewhere. The prior density function, f_0 , depends on the SFH ($\psi(\tau)$), the initial mass function (IMF, $\xi(m)$), and the metallicity distribution function (MDF, $\phi(\zeta)$). In this work we have the metallicity of the star measured to within 0.05 dex, which is small compared to the width of the MDF in the solar neighborhood; therefore, we simply assume a flat MDF across the measured metallicity uncertainty. We also assume that the IMF is independent of age and metallicity. We can therefore write Equation (3) as

$$f(\tau, \zeta) \propto \psi(\tau)\phi(\zeta) \int L(\tau, \zeta, m)S(\tau, \zeta, m)\xi(m) dm.$$

The likelihood function, $L(\tau, \zeta, m)$, is the likelihood that a given isochrone point matches the observed star based on a set of measured parameters. The likelihood function is calculated as the product of each observable parameter compared to an isochrone point assuming Gaussian uncertainties. To build the likelihood PDF, $L(\tau, \zeta, m)$ is summed over all isochrone points. Integrated over the mass this has the form

$$\begin{aligned} L(\tau, \zeta) \propto & \sum_{i=1}^n \exp\left(\frac{-(X_{1,\text{obs}} - X_{1,\text{iso},i})^2}{2\sigma_{X1,\text{obs}}^2}\right) \\ & \times \exp\left(\frac{-(X_{2,\text{obs}} - X_{2,\text{iso},i})^2}{2\sigma_{X2,\text{obs}}^2}\right) \\ & \times \exp(\dots) S(\tau_i, \zeta_i, m_i) \xi(m_i) \Delta m \end{aligned} \quad (4)$$

where X is the measured stellar parameter, $\sigma_{X,\text{obs}}$ is the uncertainty in X , and n is the number of isochrone points. In practice we only sum over isochrone points within 3σ of all of the measured parameter value. We note that because the isochrones are given in 0.05 steps in $\log_{10}(\text{age})$, we also work in $\log_{10}(\text{age})$. For all equations given, τ denotes $\log_{10}(\text{age})$. We assume a Chabrier lognormal IMF (Chabrier 2001) as is provided within the PARSEC isochrones.

The measured parameters considered were temperature (T_{eff}), metallicity ($[\text{M}/\text{H}]$), surface gravity ($\log g$), and absolute Tycho V -band magnitude (M_V). Metallicity is included in all cases. The PARSEC isochrones have solar α -abundances; therefore, we derive an adjusted metallicity for each star in the observed *Hipparcos* sample to account for α -abundance. It has been shown that α -enhanced stars appear cooler than stars with solar α -abundance of the same age and $[\text{Fe}/\text{H}]$ (see, e.g., Salaris et al. 1993). If ignored, this effect would result in an older age assigned to an α -enhanced star. As this is exactly the trend suggested by chemical evolution models of the thick disk populations, it is crucial to account for any α -enhancements when comparing to solar abundance isochrones. To correct for the temperature shift due to the stellar α -enhancement, an adjusted $[\text{M}/\text{H}]$ was used to compare to the isochrone $[\text{Fe}/\text{H}]$. We use the correction described in Salaris et al. (1993). As our sample does not contain many α -enhanced stars, only a small adjustment is required. For 94% of the observed sample the adjustment to the metallicity is less than 0.1 dex, the PARSEC isochrone $[\text{Fe}/\text{H}]$ step size. The effect on the age estimate is almost always less than 0.1 dex. We discuss below the

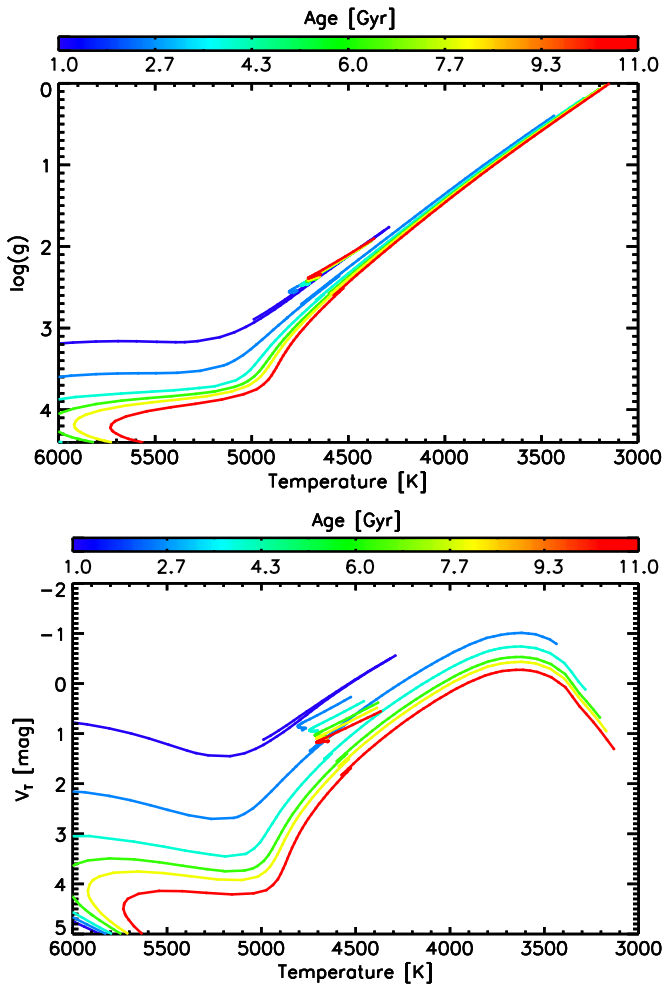


Figure 6. HR diagram of solar metallicity PARSEC isochrones in $\log g$ space (top) and M_{V_r} magnitude space (bottom). The color represents age. There is a larger separation of the RGB and RC with age in M_{V_r} magnitude space than in $\log g$ space.

combination of measured parameters that allows for the most accurate age determination of red giants. For the purpose of comparing to a direct age estimate from the mass (see Sections 4.1 and 4.2) we also consider the ages derived with this method using $[M/H]$ and mass as the measured parameters.

Using $L(\tau, \zeta)$ as given in Equation (4), we assume a flat MDF over the uncertainty in $[M/H]$ and integrate over metallicity to obtain the full age PDF for a single star:

$$f(\tau) \propto \psi(\tau)L(\tau).$$

The use of a grid of isochrones spaced in $\log(\text{age})$ imposes a default prior of a flat SFH prior in $\log(\text{age})$ or τ . We adopt a flat SFH prior in age by weighting each τ bin of the PDF by the linear age of that bin. This results in the full age PDF of a single star. In Section 4.6 we model the SFH as a parameterized function.

4.4. Mock Data Tests

Using a sample of simulated stars, we examine which combination of measured parameters results in the most accurate age determination. The simulated sample was created by selecting random points from the PARSEC isochrones to

create a sample with a flat distribution in age. The sample contains 1200 stars with parameters $3500 \text{ K} < T_{\text{eff}} < 5400 \text{ K}$, $-1.0 < [Fe/H] < 0.7$, $3.8 < \log g < 0$, and $(J - K)_0 > 0.5$. This contains only evolved stars, covers a large range of metallicities, and includes the color cut imposed on the observational sample. Gaussian noise was introduced to the points based on the typical observational uncertainties of our sample, 92 K in T_{eff} , 0.11 dex in $\log g$, 0.05 dex in $[M/H]$, and 0.15 mag in M_{V_r} . From these parameters a spectroscopic mass was calculated just as is done with the observed sample. The disagreement in the true mass of the isochrones point and the mass calculated from the parameters with added noise is 38%, in agreement with the estimate based on observational errors in Section 4.1.

An age PDF was calculated for each star in this simulated sample using the method described in Section 4.3 for several combinations of measured parameters; however, $[Fe/H]$ was included in all cases. To assign a single age to a star we take the mean of the age PDF. The age PDF for an evolved star is often not a Gaussian PDF, and can have more than one local maximum because these stars commonly have measured parameters similar to older or younger stars at a different stage of evolution (e.g., RC or AGB stars). The mean of the PDF is more sensitive to multiple peaks, which can introduce larger uncertainties in the age of a single star, but can result in a more accurate age distribution for a large sample. The difference in $\log(\text{age})$ between the real and recovered age values for a few test parameter sets is shown in Figure 7. In these figures, a Gaussian is fit to the distribution of errors to determine the 1σ errors. Both the Gaussian fit and the σ value are indicated in the figure.

The upper left panel of Figure 7 shows the age errors of a Bayesian isochrone matching analysis using $[Fe/H]$ and M as the measured parameters. This analysis compares directly with the mass–age relation analysis from Section 4.2. The age errors here are generally smaller than the uncertainties from the mass–age relation due to the inclusion of priors; however, there is a tail of large age errors. If we compare this analysis with an analysis based directly on the individual parameters used to calculate the mass ($[Fe/H]$, T_{eff} , M_{V_r} , and $\log g$, lower right), the error in recovered ages is larger for the analysis based on the mass–age relation alone (upper left). This is due to the large uncertainty in the mass with no explicit constraints on other measured parameters. Analyses based on HR diagram position ($[Fe/H]$, T_{eff} , and M_{V_r} , lower left) and $[Fe/H]$, T_{eff} , and $\log g$ (upper right) illustrate the importance of including a constraint on luminosity. Due to the larger separation of RGB isochrones in M_{V_r} than in $\log g$, see Figure 6, the distribution of age errors is always narrower when M_{V_r} is included in the isochrone matching. The top panels contain no direct magnitude comparison and show large tails of recovered ages that are too old, indicating that the absolute magnitude gives much better age resolution in giants, particularly at young ages.

For the cases in which absolute magnitude is included in the isochrone matching and the full error distribution is smallest, the largest individual age errors occur in stars with $\log g \sim 2.4$. This region of the HR diagram contains not only RGB stars, but also RC and AGB stars. F. Anders et al. (2015, in preparation) note that in their analysis, this region contains the most stars with double-peaked PDFs. An isochrone probability match based on all observed parameters

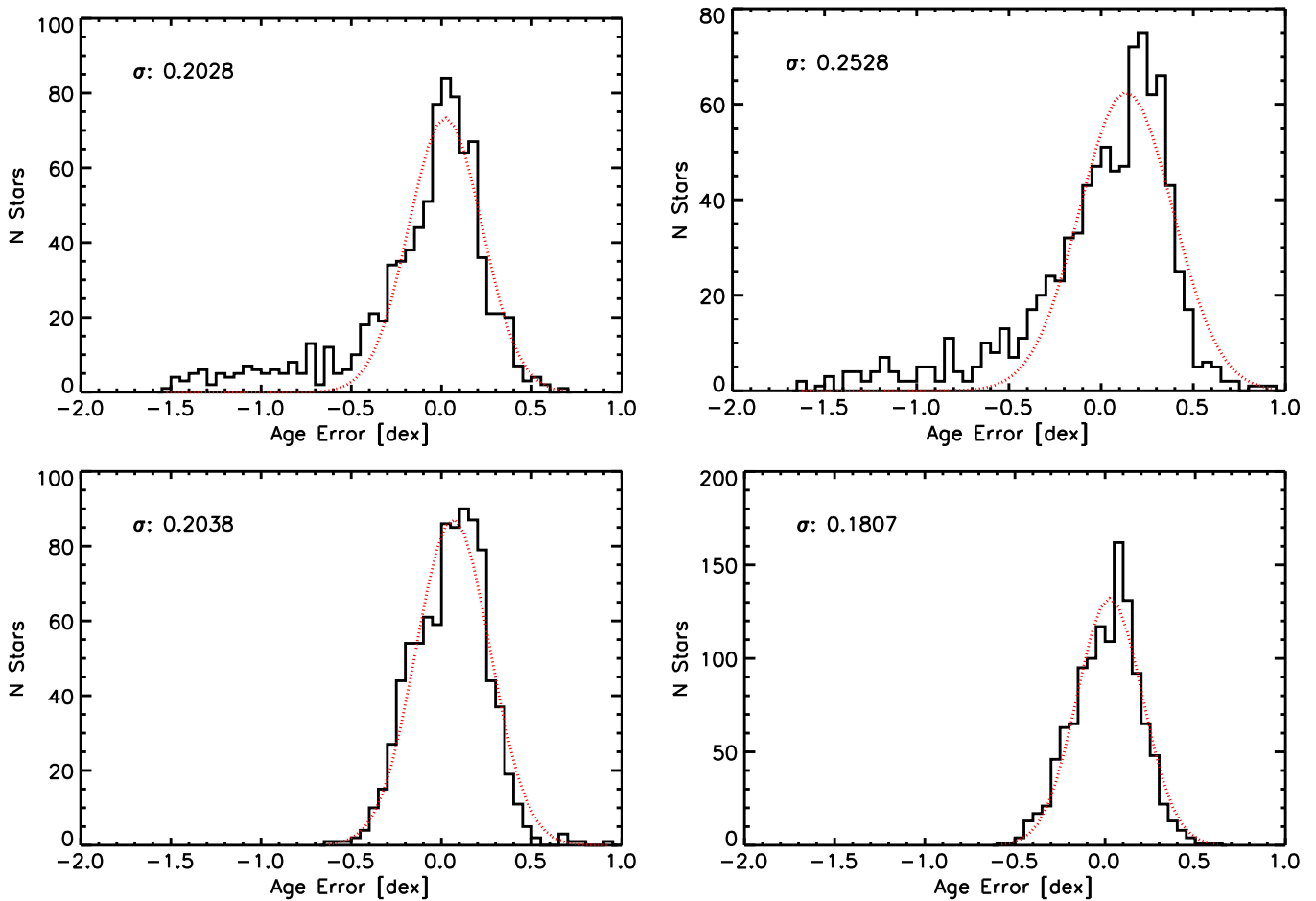


Figure 7. Distribution of the age error (real minus recovered) using a variety of measured parameters. $[\text{Fe}/\text{H}]$ and M (top left), $[\text{Fe}/\text{H}]$, T_{eff} , and $\log g$ (top right), $[\text{Fe}/\text{H}]$, T_{eff} , and M_{V_r} (bottom left), $[\text{Fe}/\text{H}]$, T_{eff} , M_{V_r} , and $\log g$ (bottom right). The recovered age is more accurate when M_{V_r} is included in the isochrone match. The top panels both show a tail of recovered ages that are much larger than the true age. The age error distribution is similar for the bottom panels, although the bottom right panel has the smallest standard deviation.

($[\text{Fe}/\text{H}]$, T_{eff} , M_{V_r} , and $\log g$) was found to give the smallest errors with a distribution width of 0.18 dex.

The distribution of recovered ages for each test case is shown in Figure 8 as the black solid line compared to the true age distribution of the sample as the dotted red line. It is again clear that when absolute magnitude is included in the isochrone matching, the youngest ages are recovered. However, the oldest ages are not recovered, even if M_{V_r} is included. Figure 9 shows the age error as a function of true age. In this Figure it is apparent that the ages of young stars are over estimated, while the ages of old stars are significantly underestimated. We believe these biases are a consequence of taking the mean of the PDF to be the age of the star, as seen in Figure 10.

Figure 10 shows two examples of the age PDFs from the simulated sample. The top panel is a $\tau = 8.35$ star and the bottom panel is a $\tau = 9.95$ star. For young stars, the PDF often has a tail toward older ages. Taking the mean of this distribution can push the age of a very young star older. For the older ages, the bias toward younger ages is likely strongly driven by the isochrone grid edge. The PDF of the older star is peaked at old ages, but is truncated at the isochrone grid edge, pushing the mean of the PDF toward younger ages. In addition, $\Delta\tau$ is not linear with Δ observational uncertainty, and the separation between isochrones in T_{eff} , M_{V_r} , and $\log g$ decreases with increasing age. This results in larger uncertainties in age at

older ages for the same uncertainty in T_{eff} , M_{V_r} , or $\log g$. We test analyzing a simulated sample using uncertainties that are an order of magnitude smaller than our typical observational uncertainties. Figure 11 shows that when the imposed uncertainties are very small, the distribution of recovered ages matches the true age distribution of the input sample. From this we conclude that the bias in recovered age is a consequence of taking the mean on the PDF, the nonlinear relation between τ and the measured parameters, and because the isochrone grid edge truncates the PDFs at τ of 10.1. This bias is most significant at the oldest age bin.

4.5. Hipparcos Sample

Using the isochrone matching method described above, we determine individual ages for the sample of nearby giant stars observed with the 1 m+APOGEE. The measured parameters used to compare to the isochrones were the α -adjusted $[\text{M}/\text{H}]$ described in Section 4.3, T_{eff} , M_{V_r} , and $\log g$. The individual stellar ages are given in Table 1. The age distribution of the sample is shown in Figure 12. This distribution has a peak at $\tau = 9.1$ and at $\tau = 9.6$. Note that this would be expected even for a population of giants with a constant SFH due to the evolutionary rate of stars of different masses. The evolutionary rate of high mass stars is higher at younger ages and the distribution of RC lifetimes has an excess at ~ 2 Gyr ($\tau = 9.3$)

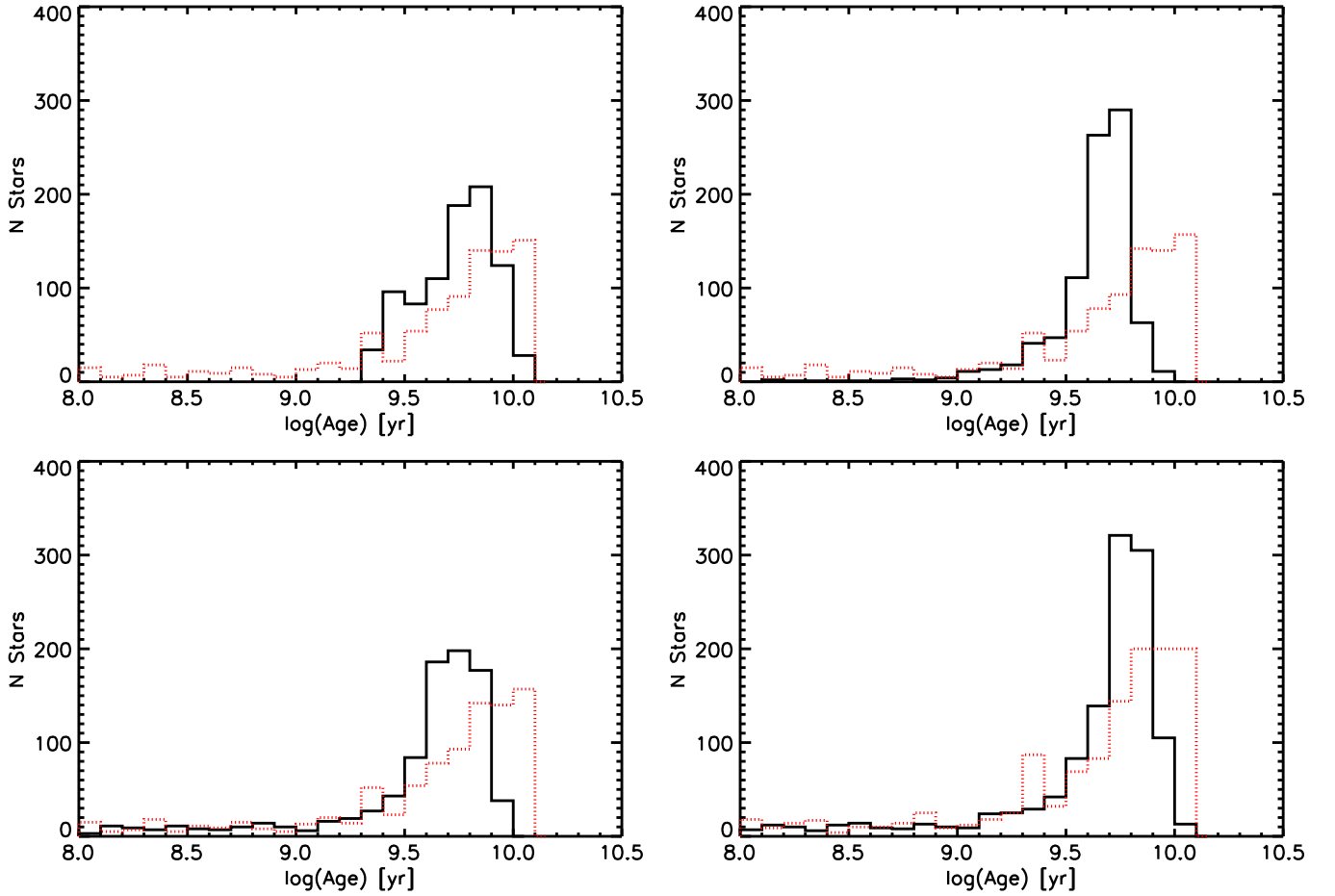


Figure 8. Distribution of the recovered ages from the simulated sample found using $[\text{Fe}/\text{H}]$ and M (top left), $[\text{Fe}/\text{H}]$, T_{eff} , and $\log g$ (top right), $[\text{Fe}/\text{H}]$, T_{eff} , and M_{VT} (bottom left), $[\text{Fe}/\text{H}]$, T_{eff} , M_{VT} , and $\log g$ (bottom right). The red dashed line shows the distribution of true ages. The bottom panels recover younger ages for the older stars, despite the narrower error distribution. The top panels are highly peaked around 4–6 Gyr.

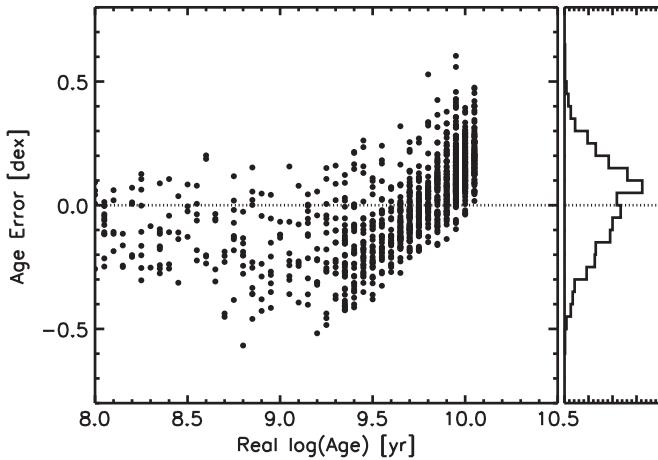


Figure 9. Age error as a function of true age for the simulated sample found using $[\text{Fe}/\text{H}]$, T_{eff} , M_{VT} , and $\log g$ as measured parameters for a Bayesian analysis. Using this method of age estimation, the young stars are assigned ages that are preferentially too old and the old stars are assigned ages that are preferentially too young. The right panel shows the histogram of age errors as shown in the lower right panel of Figure 7.

with respect to the RGB. This results in an age distribution that is skewed toward younger ages. Figure 13 shows the expected age and metallicity distribution for a sample of evolved stars with our selection function, $S(\tau, \zeta, m)$. In this figure, $N(\tau, \zeta)$

is calculated from the isochrones as

$$N(\tau, \zeta) = \int \xi(m) S(\tau, \zeta, m) dm.$$

We also calculate $N(\tau)$ assuming a MDF for the solar neighborhood similar to that found by Hayden et al. (2015). There is a strong peak in $N(\tau)$ at τ of 9.2 from RC and AGB stars, and a smaller peak at τ of 9.6 from RGB stars. The age distribution of the observed sample is has a similar behavior to this expected age distribution based on our selection function and the isochrones. We do note that the age of the peaks in the observed sample are slightly offset from the theoretical prediction, complicating analysis of the underlying population. However, the peaks are within 0.1 dex of the theoretical prediction, which is a reasonable result given the uncertainty of 0.18 dex in the simulated sample.

We use the variance of the age PDFs as the uncertainty in the age for each star. The mean uncertainty in the age using this Bayesian method is 0.15 dex, with a spread of about 0.07 dex. This about half the uncertainty in age using the mass–age relation.

4.6. Hierarchical Modeling

The derived ages described above in Section 4.3 assume a flat SFH in τ with no metallicity dependence. However, we do

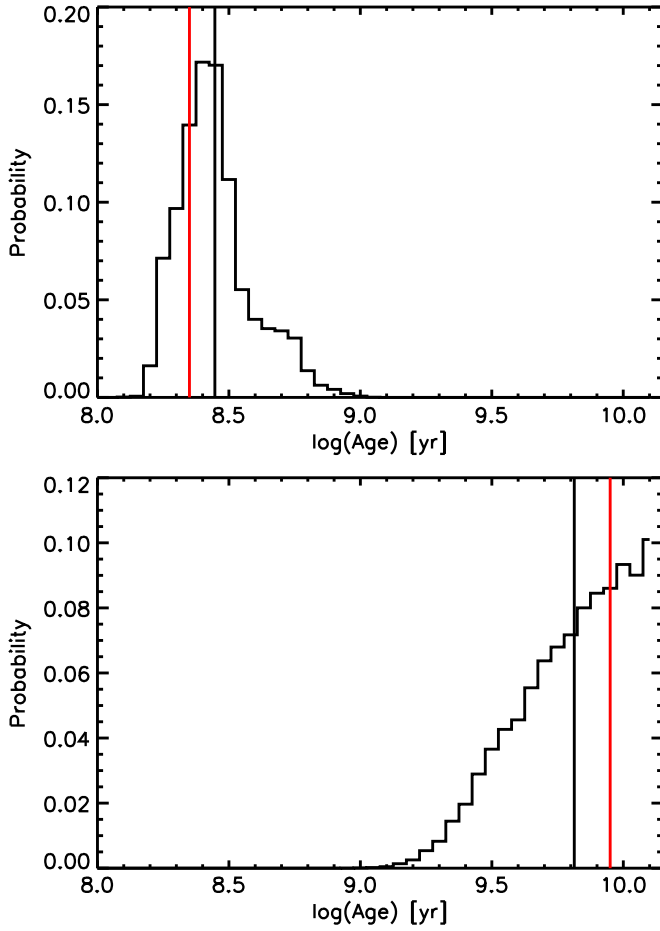


Figure 10. Example age PDFs of a star with $\tau = 8.35$ (top) and 9.95 (bottom). The PDF of the older star is truncated at 10.1 due to encountering the isochrone grid edge. The true age is indicated by the red vertical line and the mean of the PDF is indicated by the black vertical line.

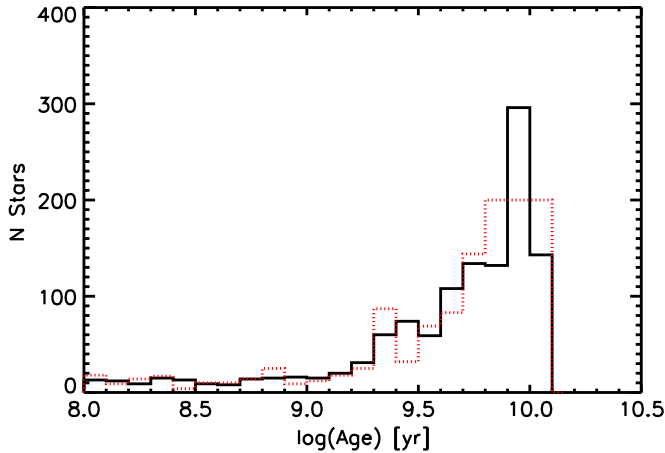


Figure 11. Distribution of the recovered ages from the simulated sample found using $[\text{Fe}/\text{H}]$, T_{eff} , M_{V_T} , and $\log g$ and uncertainties one order of magnitude smaller than the typical observational uncertainties shown as the solid black line. In comparison, the dotted red line indicates the true age distribution of the input sample. We find that if the introduced uncertainties are very small, the distribution of recovered ages matches the input distribution.

not know the true SFH of this sample. In this section we explore a Bayesian hierarchical modeling approach to determining a more realistic prior, $f_0(\tau, \zeta, m)$, for this sample. The hierarchical modeling approach uses the likelihood age PDFs

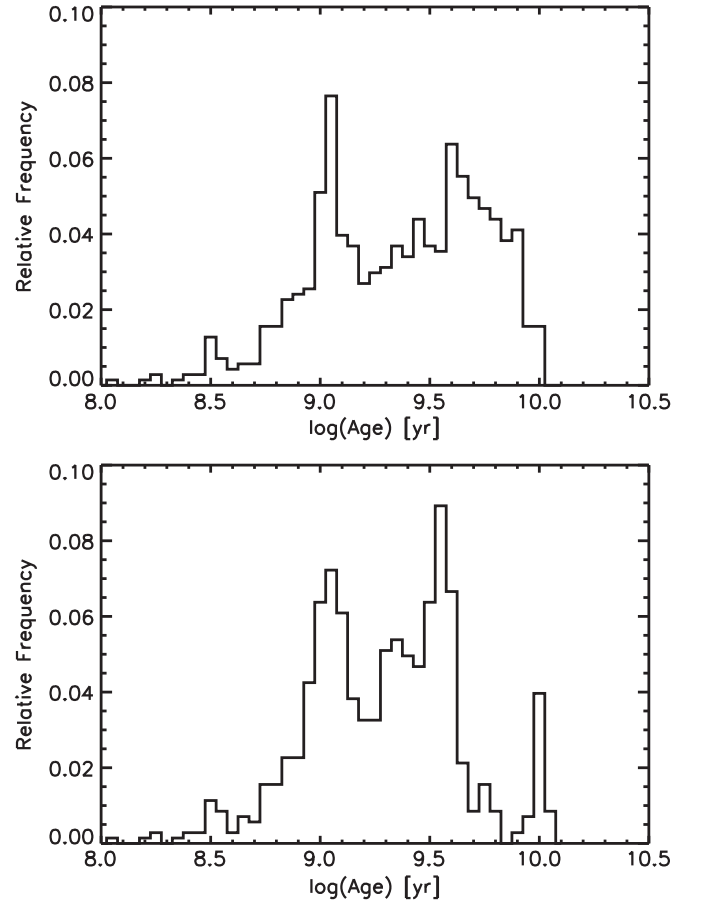


Figure 12. Distribution of ages determined for the APOGEE *Hipparcos* sample using a Bayesian approach (top) and the empirical Bayesian analysis using the model SFH as a prior (see Section 4.6, bottom). The age distribution is skewed toward younger ages with a young peak at 9.1 and an older peak at 9.6 for the Bayesian ages and 9.55 for the empirical Bayesian ages.

from Equation (4) to determine the parameters of a model SFH. In this way we use the full age PDF of each star in the whole sample to inform our SFH rather than independently finding individual stellar ages assuming a flat SFH. Although one could adopt a SFH based on previous knowledge of the solar neighborhood, a hierarchical modeling approach allows the data to inform the SFH of the given sample. A Bayesian hierarchical analysis could be applied to a sample outside the solar neighborhood, where there are fewer known constraints on the SFH.

In this method, we model the prior, $f_0(\tau, \zeta, m|a)$, as a function with free parameter(s) a . The PDF for a given parameter a is then

$$p(a|\text{data}) \propto p(\text{data}|a)p(a).$$

This can be re-written in terms of the model prior and the likelihood distributions from Section 4.3,

$$\begin{aligned} &\propto p(a) \int p(\text{data}|\tau, \zeta, m) p(\tau, \zeta, m|a) d\tau d\zeta dm \\ &\propto p(a) \int p(\text{data}|\tau, \zeta, m) N(a) \\ &\quad \times f_0(\tau, \zeta, m|a) d\tau d\zeta dm \end{aligned}$$

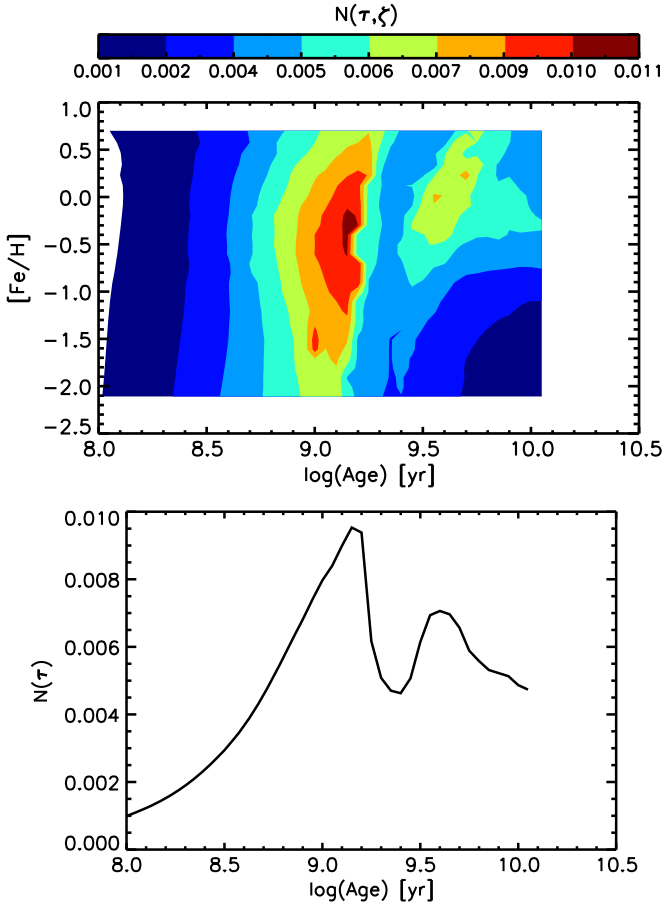


Figure 13. Expected distribution, $N(\tau, \zeta)$, for a sample of evolved stars selected with the same criteria as the local red giant sample in age, τ , and metallicity, ζ (top). We also examine the expected age distribution, $N(\tau)$, assuming a solar neighborhood MDF similar to that found by Hayden et al. (2015, bottom).

where $N(a)$ is a model normalization term defined such that

$$N(a) \int f_0(\tau, \zeta, m) S(\tau, \zeta, m) d\tau d\zeta dm = 1.$$

This normalization term ensures that the total probability that a star in the sample is a giant given the model prior is unity. This is necessary as the sample is defined to contain only giants. Recall from Section 4.3 that the prior depends on the SFH, the IMF, and the metallicity distribution, as well as the selection function, $S(\tau, \zeta, m)$, to account for a sample of all giants. As we are modeling the SFH it now also depends on the parameter(s) a of the model. Therefore,

$$p(a|\text{data}) \propto p(a) \int p(\text{data}|\tau, \zeta, m) \phi(\zeta) \xi(m) \times S(\tau, \zeta, m) N(a) \psi(\tau|a) d\tau d\zeta dm.$$

Here $p(\text{data}|\tau, \zeta, m)$ is the likelihood function $L(\tau, \zeta, m)$ for an individual star, so we can use this function and take the product over all stars:

$$p(a|\text{data}) \propto p(a) \prod_i \int L_i(\tau, \zeta, m) \phi(\zeta) \xi(m) \times N(a) \psi(\tau|a) S_i(\tau, \zeta, m) d\tau d\zeta dm.$$

Now recalling Equation (4), we can integrate over mass and use $L(\tau, \zeta)$, and also over metallicity, assuming a flat metallicity distribution:

$$p(a|\text{data}) \propto p(a) \prod_i \int L_i(\tau) N(a) \psi(\tau|a) d\tau. \quad (5)$$

We have already computed $L_i(\tau)$ for each star in Section 4.3; therefore, we can use these PDFs to constrain the parameter a of the model prior. The likelihood PDFs were calculated under assumed SFH, IMF, and metallicity distribution priors. The assumed IMF and MDF are the same as in Section 4.3. The effect of using a grid of isochrones in $\log(\text{age})$ is a flat SFH prior in τ . However, the prior being modeled here is the SFH, which will be a function of τ ; therefore, we do not need to explicitly remove the prior imposed by the isochrone grid. The model SFH priors also have free parameter(s) a , $\psi(\tau|a)$.

We test this hierarchical modeling method on a mock sample of stars generated to have an underlying Gaussian SFH, with a mean age of $\tau = 9.0$ and an age dispersion of $\sigma = 0.4$, and selected to be only giants using our selection function. We find that the hierarchical modeling accurately recovers the mean age and age dispersion of the simulated sample. The model SFH was found to have a mean age of 9.0 and an age dispersion of 0.37, very similar to the input sample. This demonstrates that the hierarchical modeling method is able to correctly recover the underlying SFH, assuming the model function is a good representation of the true SFH.

We test a few simple models for the SFH of the observed *Hipparcos* sample, starting with a flat SFH in $\log(\text{age})$, τ , given by

$$\psi(\tau|\tau_{\min}, \tau_{\max}) = \begin{cases} 1 & \tau_{\min} \leq \tau \leq \tau_{\max} \\ 0 & \text{elsewhere} \end{cases}$$

where $\tau_{\min}$ and $\tau_{\max}$ are the free parameters. To determine the values of $\tau_{\min}$ and $\tau_{\max}$ we calculate the probability of the parameter value given the data (Equation (5)) for a grid of $\tau_{\min}$ and $\tau_{\max}$ values. We find the most likely parameters to be $\tau_{\min}$ of 8.05 and $\tau_{\max}$ of 10.1. This is a reasonable range of ages for a sample of solar neighborhood giants; however, the SFH is likely more complicated. We also test models for a linear SFH in age, and a Gaussian SFH in $\log(\text{age})$. We find that the linear SFH model cannot recover a likely slope while maintaining a positive SFR across the full age range.

The Gaussian model has the form

$$\psi(\tau|\mu, \sigma) = \frac{1}{\sigma\sqrt{2\pi}} \exp\left(-\frac{(\tau - \mu)^2}{2\sigma^2}\right)$$

where the mean $\log(\text{age})$, μ , and $\log(\text{age})$ dispersion, σ , are the free parameters. The value of these parameters was determined through a similar grid search as the previous model, resulting in a most likely μ of 9.25 and σ of 0.39. This is consistent with the distribution of ages found in Section 4.3 using the assumed flat SFH. This is also consistent with the expected age distribution of a sample of giants in the solar neighborhood as shown in Figure 13.

Although the Gaussian model finds the overall SFH of the whole sample, there are more individual star PDFs that differ largely from the average fit than would be expected from purely

Gaussian wings. This suggests we need a more complex model to account for these outlier stars.

Motivated by recent work that demonstrates that α -abundance may correlate more closely with age than $[\text{Fe}/\text{H}]$ (see, e.g., Haywood et al. 2013, and Figure 15 below) we test an α -dependent Gaussian SFH model. The SFH model is applied to a subsample of stars with a single α -abundance, and most likely parameters determined for each abundance bin. Even with an α -dependent model we find stars with age PDFs that are significantly inconsistent with the most likely SFH model for the given α -abundance. We therefore test a uniform +Gaussian α -dependent SFH model, which consists of a Gaussian SFH plus a constant SFH for some fraction of outlier stars. This model is given by

$$\psi(\tau|\mu, \sigma) = \frac{(1-A)}{\sigma\sqrt{2\pi}} \exp\left(-\frac{(\tau-\mu)^2}{2\sigma^2}\right) + A \times C$$

where A is the outlier fraction and C is a constant. This allows the single α -abundance population to be fit by a Gaussian SFH while also allowing for a small fraction of outlier stars. Although the pure Gaussian models are sufficient to examine the mean age of the α -abundance dependent populations, when determining ages for individual stars, the age PDFs for outlier stars are significantly modified by the SFH prior. The Gaussian +uniform SFH model is needed for the SFH prior used in an empirical Bayesian analysis to determine individual star ages. We find an outlier fraction of 7.5% is consistent with our sample; however, the value of the outlier fraction has a very small effect on the individual stellar ages. When applied to the whole sample independently of abundance, the Gaussian +uniform model results in a mean τ of 9.25 and a dispersion of 0.37. This is very similar to the results of the pure Gaussian model suggesting that the constant term does not have a large impact on the fit to the dominant population.

Our sample has only 64 stars with $[\alpha/\text{M}] > 0.1$; therefore, we use single α -abundance bins independent of $[\text{M}/\text{H}]$. The SFH model could be applied to a larger sample of stars for monoabundance populations such as those examined by Bovy et al. (2012).

The α -dependent SFH model provides some useful constraints on the SFH of single α -abundance populations in the solar neighborhood, see Section 5.1. To determine individual stellar ages for the *Hipparcos* sample, we adopt the α -dependent, Gaussian+uniform SFH models as the SFH prior in an empirical Bayesian analysis, as was done in Section 4.3 assuming a flat SFH in age. For this analysis we construct a full age PDF in log age and again assign a single age to an individual star as the mean of the PDF. The individual stellar ages are given in Table 1. The full likelihood, Bayesian, and empirical Bayesian PDFs, as well as the hierarchically modeled SFH, for each star is available (doi:10.5281/zenodo.34693).

The bottom panel of Figure 12 shows the distribution of ages found for the *Hipparcos* sample. Using the empirical Bayesian method we again find a peak in the age distribution at 9.1 and a peak at 9.55. The behavior of this distribution is consistent with the expected distribution for our selection function; however, the exact age of the peaks is slightly shifted from the theoretical prediction in Figure 13 by about 0.1 dex. Again, this offset makes analysis of the underlying population difficult, but is a reasonable distribution given the uncertainty in age. As in Section 4.5, we use the variance of the individual age PDF as

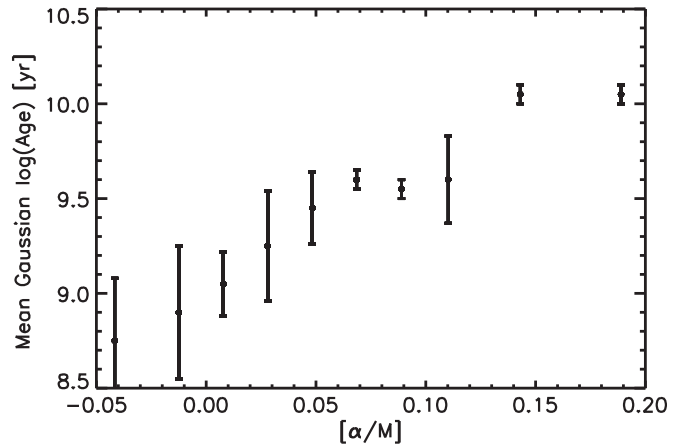


Figure 14. Mean log(age), τ , of the α -dependent Gaussian+uniform SFH models as a function of α -abundance bin. The error bars indicate the dispersion of each Gaussian model. The mean age of the models increases continuously with α -abundance, even at the youngest (<1 Gyr) ages.

the uncertainty in the age. For this analysis the mean uncertainty is 0.1 dex with a spread of about 0.05 dex. This is a smaller age uncertainty than was found using the uninformed Bayesian analysis in Section 4.3.

5. AGE TRENDS OF THE HIPPARCOS SAMPLE

5.1. α -dependent Age Distribution

The α -dependent Gaussian+uniform SFH models give a most likely mean τ and dispersion for each population of stars with a single α -abundance. The α bins used to fit the models were chosen to have at least 15 stars in each bin, with a minimum bin width of 0.02 dex in $[\alpha/\text{M}]$. Figure 14 shows the mean τ of the Gaussian SFH model as a function of α -abundance. The τ dispersion of each model is indicated by the error bars. The mean age increases strongly with α -abundance, even at solar α -abundance and young ages. Recent work from the GES has shown a large spread in α -abundance at a given age with a very shallow increase in α -abundance (Bergemann et al. 2014). Other studies in the solar neighborhood have found little trend in α -abundance at young ages and a steep increase in α -abundance with age above about 8 Gyr (e.g., Haywood et al. 2013; Ramírez et al. 2013; Bensby et al. 2014). The hierarchical modeling shows that there is a correlation between α -abundance and age across the full abundance range. We note also that the dispersion in the SFH model is smaller for the more α -rich populations, and larger for the solar- α populations. This suggests that while the overall $[\alpha/\text{M}]$ decreased with time in the Galactic disk, some solar α -abundance stars formed early on, while the formation of α -enhanced stars was limited to early times.

This analysis is useful for general trends in the disk populations with time; however, we would also like to examine how the individual stars deviate from that overall trend. The ages for individual stars determined using the α -dependent Gaussian+uniform model SFH as a prior in an empirical Bayesian analysis are shown as a function of stellar α -abundance in the bottom panel of Figure 15. The tight relation between α -abundance and age dictated by the SFH model is recovered. However, there is still some spread in α at all ages. Using the Bayesian ages, top panel of Figure 15, the relation between $[\alpha/\text{M}]$ and age is still present, confirming the

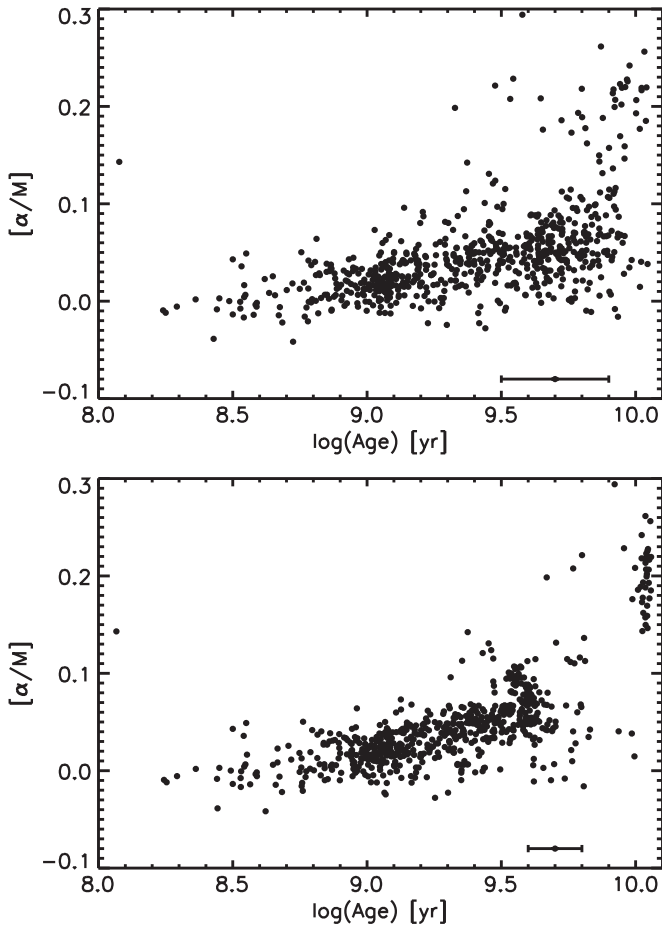


Figure 15. $[\alpha/M]$ as a function of age. The top panel uses ages found using the initial Bayesian method described in Section 4.3. The bottom panel uses ages found using the empirical Bayesian method described in Section 4.6. As expected from the α -dependent SFH prior, the relation is much tighter using ages determined through the empirical Bayesian analysis. However, there are a few young, α -rich stars and older, α -poor stars. Typical uncertainties are shown.

motivation to use an α -dependent model SFH. The spread in α is much larger for the Bayesian ages than for the empirical Bayesian ages, particularly older than 1 Gyr. Although the trend between $[\alpha/M]$ and age is consistent with previous work, our sample does not have stars past 11 Gyr as is seen in some studies of the Galactic disk (e.g., Haywood et al. 2013; Bensby et al. 2014; Bergemann et al. 2014). This is likely due to our method of taking the mean of the age PDF and the isochrone grid edge of 12.6 Gyr, creating a bias against the oldest stars, as discussed in Section 4.3.

Although the empirical Bayesian ages include an α -dependent prior, there are still a few outlier stars, suggesting that these stars are robustly very young or very old for their α -abundance. Recently, young α -rich stars have been found using asteroseismology in the *Kepler* (Martig et al. 2015) and CoRoT (Chiappini et al. 2015) fields. We find six stars in our sample that are α -rich ($[\alpha/M] > 0.13$) and strongly constrained to be younger than $\tau = 9.75 \sim 6$ Gyr. We also find seven stars with $[\alpha/M] < 0.1$ and older than $\tau = 9.75 \sim 6$ Gyr.

Previous work has relied on the $[\alpha/Fe]$ versus $[Fe/H]$ plane to study the evolution of the thick and thin disk populations (e.g., Fuhrmann 1998; Prochaska et al. 2000; Reddy et al. 2006; Haywood et al. 2013; Bensby et al. 2014; Hayden et al. 2015),

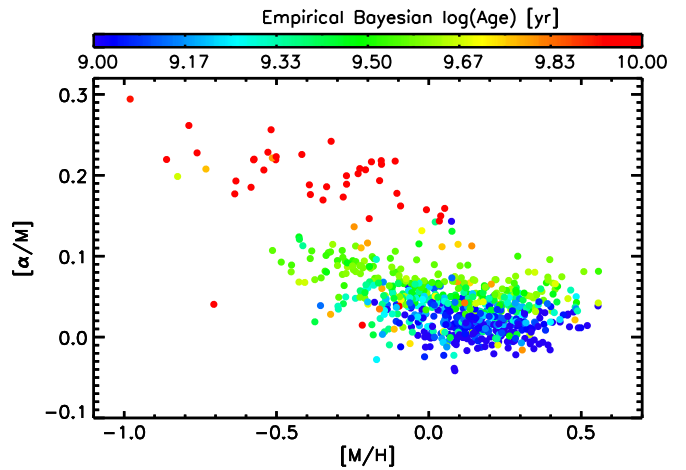


Figure 16. $[\alpha/M]$ vs. $[M/H]$ distribution for our sample, color-coded by age. There is a separation of high and low α -abundance stars with metallicity. The low- α stars have a “banana” distribution as seen in recent studies of the Milky Way disk. The α -rich stars are older than the α -poor stars, in agreement with recent studies. We note the presence of 16 α -rich stars that are younger than $\tau = 9.75 \sim 6$ Gyr.

finding the thick disk to be older and α -enhanced, and the thin disk to be younger with solar α -abundance. We examine the $[\alpha/M]$ versus $[M/H]$ relation of this sample, shown in Figure 16, with the added dimension of age, indicated by the color. Our sample shows a separation of low- α and high- α abundance populations as is typical of the local disk. The high- α track is older than the low- α track; however, the low- α track does contain 16 stars older than 6 Gyr. The ages of the high- α track are consistent with the age of the thick disk determined from the white dwarf luminosity function (see, e.g., Leggett et al. 1998; Reid 2005). The low- α population shows a “banana”-shaped distribution as is seen by Adibekyan et al. (2012) in their sample of FGK stars, Nidever et al. (2014) in a sample of RC stars observed by the APOGEE survey, and Bensby et al. (2014) in the Ti abundances of local FG dwarfs and subgiants.

5.2. Age–Metallicity Relation

The presence of an age–metallicity relation is a basic prediction of simple stellar and galactic evolution models; as stars evolve they enrich the galaxy with new metals so that successive generations of stars are more metal rich. Recent spectroscopic studies of stars in the solar neighborhood have found a deviation from this expected age–metallicity relation. Edvardsson et al. (1993), Haywood et al. (2013), Bensby et al. (2014), and Bergemann et al. (2014) all find a ~ 1.0 dex spread in metallicity at all ages, with a flat relation at ages younger than 8 Gyr and the predicted decrease in metallicity with increased age present only in stars older than 8 Gyr. The age–metallicity relation derived from our sample is shown in Figure 17. In agreement with recent studies, we find a weak age–metallicity relation at younger ages with a steeper decrease in metallicity at around 6 Gyr and a spread in metallicity across all ages. Our results agree with previous work to suggest that the chemical evolution of the Milky Way disk was more complicated than a simple closed box model.

The red points in Figure 17 indicate the mean $[M/H]$ and standard deviation in $[M/H]$ for age bins of 0.2 dex. This is consistent with a very shallow age–metallicity relation below ~ 6 Gyr. More interestingly, we note that the scatter in the

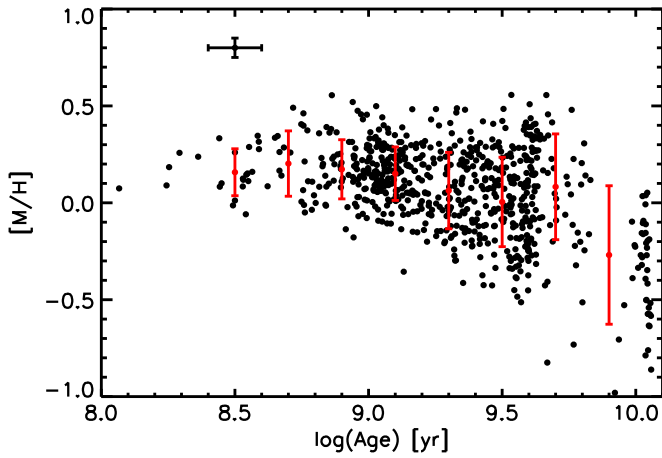


Figure 17. Metallicity as a function of age for giants in the solar neighborhood. We find a flat age–metallicity relation at younger ages with decreasing metallicity around τ of 9.75 $\sim$ 6 Gyr. A representative age uncertainty is given in the top left. The red points indicate the mean metallicity in age bins of 0.2 dex and the error bars indicate the standard deviation in metallicity in each bin. We note that the scatter in metallicity is smaller for stars with ages < 1 Gyr.

metallicity is smaller for stars with ages < 1 Gyr. This could reflect the effects of radial migration on the MDF of the solar neighborhood. Young stars that formed near the solar neighborhood should have a similar metallicity, while stars that formed elsewhere could have a different metallicity. These older stars have had time to migrate to the solar neighborhood, causing a spread in the MDF of older stars.

5.3. Kinematics

As full kinematic information is available for this sample, we examine trends in kinematics with age. We find that the spread in velocity increases with age in all velocity components, in agreement with the GCS results from Holmberg et al. (2009). Figure 18 shows the resulting velocity dispersion as a function of age with this sample. In this figure, each age bin contains equal numbers of stars, 50 stars each. We find that the velocity dispersion increases with age for all velocity components. The line indicates the best fit power law to the data. The highest and lowest age bin is excluded from the fit. The indices of the

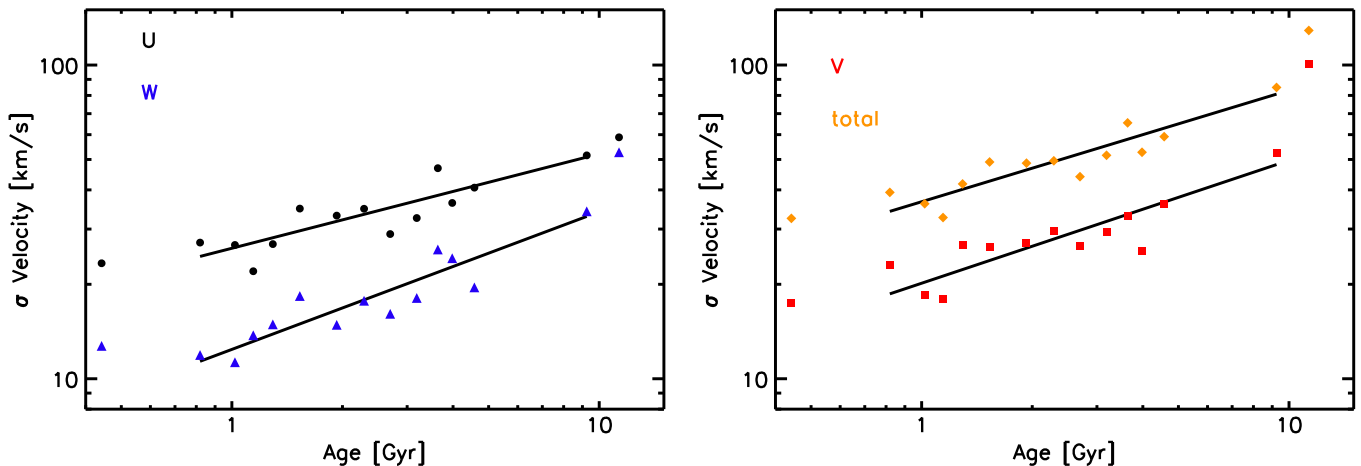


Figure 18. Velocity dispersion as a function of age. On the left: U and W velocity components and on the right: V and total velocity for our sample. Each bin contains equal numbers of stars (50 stars each). This plot is comparable to Figure 7 of Holmberg et al. (2009). The line indicates the best fit power law to the data. The highest and lowest age bins are excluded from the fit. The indices of the power law for the U , V , W , and total velocities are 0.30, 0.39, 0.44, and 0.36, respectively. The fits are normalized to 26.0, 20.2, 12.4, and 36.7 km s^{-1} at 1 Gyr for the U , V , W , and total velocity components, respectively.

power law for the U , V , W , and total velocities are 0.30, 0.39, 0.44, and 0.36, respectively. The fits are normalized to 26.0, 20.2, 12.4, and 36.7 km s^{-1} at 1 Gyr for the U , V , W , and total velocity components, respectively. The spread around the exponential fit is 4, 3, 4, and 5 km s^{-1} for the U , V , W , and total velocity components, respectively. Visually, the trends and magnitude of the velocity dispersion with age are consistent with the improved GCS results (Holmberg et al. 2009), and the power law indices agree within 0.1 for all velocity components.

6. CONCLUSIONS

We present a method of determining ages to within 0.15 dex for red giant stars with accurately measured distances and high-resolution spectroscopic parameters. Due to the probabilistic approach and the large uncertainty associated with isochrone matching on the RGB this method is recommended for a statistical examination of stellar populations. We test the Bayesian and hierarchical modeling methods on a mock sample of stars to test the accuracy of the ages. We then apply this method to a sample of 705 local stars with distances measured to within 10% by the *Hipparcos* mission and observed with the 1 m+APOGEE capability.

Due to the large uncertainties in the mass calculated from the luminosity, effective temperature, and surface gravity, we find that a direct mass–age relation results in an age uncertainty of 0.3 dex. In order to reduce this uncertainty in age without better mass measurements, a probabilistic isochrone matching method is required. Using a mock sample of stars we find that a Bayesian analysis assuming a Chabrier lognormal IMF and a flat SFH is able to recover the true age of giants to within 0.2 dex. For this analysis, $[\text{Fe}/\text{H}]$, T_{eff} , M_{V_r} , and $\log g$ are the best measured parameters to match to the isochrones. When applied to a sample of solar neighborhood giant stars, the resulting age distribution is consistent with the age distribution expected for our selection function.

In order to more accurately model the SFH, we apply a hierarchical modeling method. The hierarchical modeling approach uses the full age PDF of the data to constrain the parameters of a model SFH. The model SFH can be fit to the entire sample or to subsamples based on abundance or location. This method is powerful because it allows the data to inform the SFH and the SFH can be tuned for specific subsamples. In this

paper, we fit the model SFH to subsamples of single α -abundance stars and find an α -dependent Gaussian+uniform SFH model represents the data well. From this analysis we find the mean $\log(\text{age})$ of single α -abundance populations increases with α -abundance, and the dispersion in the SFH is larger for solar $[\alpha/\text{M}]$ populations than for α -enhanced populations. Although previous work has found a flat α -age relation below ~ 7 Gyr, using the hierarchical modeling we find a relation does exist at younger ages. In agreement with recent studies of the solar neighborhood, we find the α -rich track in $[\alpha/\text{M}]$ versus $[\text{M}/\text{H}]$ space is older than the low- α track. In future work we plan to test a model SFH fit to monoabundance populations across the Galactic disk.

Using this model SFH as the prior in an empirical Bayesian analysis we determine individual stellar ages and examine the trends in abundance with age for the solar neighborhood. With the individual stellar ages we do note the presence of six α -rich stars with ages below 6 Gyr as seen by Martig et al. (2015) and Chiappini et al. (2015). We also find seven α -poor stars with ages above 6 Gyr.

As previously found, the age-metallicity relation of our sample is flat at young ages and decreases in metallicity around 6 Gyr. Although there is a spread in $[\text{M}/\text{H}]$ at all ages, we find that the spread for stars younger than ~ 1 Gyr is smaller than for older stars. This could be a result of radial migration as older stars born at different Galactic radii migrate to the solar neighborhood and broaden the MDF.

The velocity dispersion in this sample increases with age in all velocity components, in agreement with the improved GSC results (Holmberg et al. 2009).

The trends with age of this sample agree with other work using stars from the solar neighborhood. We find this method of determining ages of red giant stars to be applicable to large samples of giants for which accurate distance measurements and high-resolution spectroscopy is available. The full likelihood, Bayesian, and empirical Bayesian PDFs, as well as the hierarchically modeled SFH, for each star is available (doi:10.5281/zenodo.34693).

D.K.F., J.H., and S.R.M. acknowledge support from NSF Grant AST-1109718, J.B. thanks the Natural Sciences and Engineering Research Council of Canada for financial support of this project, L.G. acknowledges partial support from CNPq-Brazil and PRIN-INAF 2014 CRA 1.05.01.94.05.

Funding for SDSS-III has been provided by the Alfred P. Sloan Foundation, the Participating Institutions, the National Science Foundation, and the U.S. Department of Energy Office of Science. The SDSS-III web site is <http://www.sdss3.org/>. SDSS-III is managed by the Astrophysical Research Consortium for the Participating Institutions of the SDSS-III Collaboration including the University of Arizona, the Brazilian Participation Group, Brookhaven National Laboratory, Carnegie Mellon University, University of Florida, the French Participation Group, the German Participation Group, Harvard University, the Instituto de Astrofísica de Canarias, the Michigan State/Notre Dame/JINA Participation Group, Johns Hopkins University, Lawrence Berkeley National Laboratory, Max Planck Institute for Astrophysics, Max Planck Institute for Extraterrestrial Physics, New Mexico State University, New York University, Ohio State University, Pennsylvania State University, University of Portsmouth, Princeton University, the Spanish Participation Group, University of Tokyo, University

of Utah, Vanderbilt University, University of Virginia, University of Washington, and Yale University.

REFERENCES

- Adibekyan, V. Z., Sousa, S. G., Santos, N. C., et al. 2012, *A&A*, **545**, A32
- Alam, S., Albareti, F. D., Allende Prieto, C., et al. 2015, *ApJS*, **219**, 12
- Anderson, E., & Francis, C. 2012, *yCat*, **5137**, 0
- Bahcall, J. N., Pinsonneault, M. H., & Wasserburg, G. J. 1995, *RvMP*, **67**, 781
- Bensby, T., Feltzing, S., & Oey, M. S. 2014, *A&A*, **562**, A71
- Bergemann, M., Ruchti, G. R., Serenelli, A., et al. 2014, *A&A*, **565**, A89
- Boeche, C., Chiappini, C., Minchev, I., et al. 2013, *A&A*, **553**, A19
- Bovy, J., Nidever, D. L., Rix, H.-W., et al. 2014, *ApJ*, **790**, 127
- Bovy, J., Rix, H.-W., Liu, C., et al. 2012, *ApJ*, **753**, 148
- Bressan, A., Marigo, P., Girardi, L., et al. 2012, *MNRAS*, **427**, 127
- Chabrier, G. 2001, *ApJ*, **554**, 1274
- Chang, R. X., Hou, J. L., Shu, C. G., & Fu, C. Q. 1999, *A&A*, **350**, 38
- Chiappini, C., Anders, F., Rodrigues, T. S., et al. 2015, *A&A*, **576**, L12
- Chiappini, C., Matteucci, F., & Gratton, R. 1997, *ApJ*, **477**, 765
- Chiappini, C., Matteucci, F., & Romano, D. 2001, *ApJ*, **554**, 1044
- Clough, S. A., Shephard, M. W., Mlawer, E. J., et al. 2005, *JQSRT*, **91**, 233
- Edvardsson, B., Andersen, J., Gustafsson, B., et al. 1993, *A&AS*, **102**, 603
- Eisenstein, D. J., Weinberg, D. H., Agol, E., et al. 2011, *AJ*, **142**, 72
- Freeman, K. C. 2012, in ASP Conf. Ser. 458, Galactic Archaeology: Near-Field Cosmology and the Formation of the Milky Way, ed. W. Aoki et al. (San Francisco, CA: ASP), **393**
- Fuhrmann, K. 1998, *A&A*, **183**, 161
- García Pérez, A. E., Allende Prieto, C., Holtzman, J. A., et al. 2015, *AJ*, submitted (arXiv:1510.07635)
- Gilmore, G., Randich, S., Asplund, M., et al. 2012, *Msngr*, **147**, 25
- González Hernández, J. I., & Bonifacio, P. 2009, *A&A*, **497**, 497
- Green, G. M., Schlafly, E. F., Finkbeiner, D. P., et al. 2015, *ApJ*, **810**, 25
- Gunn, J. E., Siegmund, W. A., Mannery, E. J., et al. 2006, *AJ*, **131**, 2332
- Hayden, M. R., Bovy, J., Holtzman, J. A., et al. 2015, *ApJ*, **808**, 132
- Hayden, M. R., Holtzman, J. A., Bovy, J., et al. 2014, *AJ*, **147**, 116
- Haywood, M., Di Matteo, P., Lehnert, M. D., Katz, D., & Gómez, A. 2013, *A&A*, **560**, A109
- Holmberg, J., Nordström, B., & Andersen, J. 2009, *A&A*, **501**, 941
- Holtzman, J. A., Harrison, T. E., & Coughlin, J. L. 2010, *AdAst*, **2010**, 46
- Holtzman, J. A., Shetrone, M., Johnson, J. A., et al. 2015, *AJ*, **150**, 148
- Jørgensen, B. R., & Lindegren, L. 2005, *A&A*, **436**, 127
- Kordopatis, G., Gilmore, G., Steinmetz, M., et al. 2013, *AJ*, **146**, 134
- Lee, Y. S., Beers, T. C., An, D., et al. 2011, *ApJ*, **738**, 187
- Leggett, S. K., Ruiz, M. T., & Bergeron, P. 1998, *ApJ*, **497**, 294
- Loebman, S. R., Roškar, R., Debattista, V. P., et al. 2011, *ApJ*, **737**, 8
- Majewski, S. R., Schiavon, R. P., Frinchaboy, P. M., et al. 2015, *AJ*, Submitted (arXiv:1509.05420)
- Martig, M., Rix, H.-W., Aguirre, V. S., et al. 2015, *MNRAS*, **451**, 2230
- Matteucci, F., & Francois, P. 1989, *MNRAS*, **239**, 885
- Matteucci, F., & Greggio, L. 1986, *A&A*, **154**, 279
- Minchev, I., Chiappini, C., & Martig, M. 2013, *A&A*, **558**, A9
- Nidever, D. L., Bovy, J., Bird, J. C., et al. 2014, *ApJ*, **796**, 38
- Nidever, D. L., Holtzman, J. A., Allende Prieto, C., et al. 2015, *AJ*, **150**, 173
- Prochaska, J. X., Naumov, S. O., Carney, B. W., McWilliam, A., & Wolfe, A. M. 2000, *AJ*, **120**, 2513
- Ramírez, I., Allende Prieto, C., & Lambert, D. L. 2013, *ApJ*, **764**, 78
- Randich, S., Gilmore, G., & Gaia-ESO Consortium 2013, *Msngr*, **154**, 47
- Reddy, B. E., Lambert, D. L., & Prieto, C. A. 2006, *MNRAS*, **367**, 1329
- Reid, I. N. 2005, *ARA&A*, **43**, 247
- Roškar, R., Debattista, V. P., Quinn, T. R., Stinson, G. S., & Wadsley, J. 2008, *ApJL*, **684**, L79
- Salaris, M., Chieffi, A., & Straniero, O. 1993, *ApJ*, **414**, 580
- Schönrich, R., & Binney, J. 2009, *MNRAS*, **399**, 1145
- Sellwood, J. A., & Binney, J. J. 2002, *MNRAS*, **336**, 785
- Soderblom, D. R. 2010, *ARA&A*, **48**, 581
- Tinsley, B. M. 1979, *ApJ*, **229**, 1046
- van Leeuwen, F. (ed.) 2007, Hipparcos, the New Reduction of the Raw Data, Vol. 350 (Berlin: Springer)
- Wielen, R., Fuchs, B., & Dettbarn, C. 1996, *A&A*, **314**, 438
- Yanny, B., Rockosi, C., Newberg, H. J., et al. 2009, *AJ*, **137**, 4377
- Zamora, O., García-Hernández, D. a., Prieto, C. A., et al. 2015, *AJ*, **149**, 181
- Zucker, D. B., de Silva, G., Freeman, K., & Bland-Hawthorn, J. 2012, in ASP Conf. Ser. 458, Galactic Archaeology: Near-Field Cosmology and the Formation of the Milky Way, ed. W. Aoki et al. (San Francisco, CA: ASP), **421**